



**LEGISLATIVE FISCAL OFFICE**



STATE OF ALABAMA

**LEGISLATIVE FISCAL OFFICE**

ALABAMA STATE HOUSE

MONTGOMERY, AL 36130-3525

Telephone: (334) 242-7950

Fax: (334) 242-4708

E-Mail:

[allfo@lfo.state.al.us](mailto:allfo@lfo.state.al.us)

Web Site:

[www.lfo.state.al.us](http://www.lfo.state.al.us)

JOYCE K. BIGBEE  
DIRECTOR

September 2009

Members of the Alabama Legislature:

This booklet was prepared by the Legislative Fiscal Office to provide members of the Alabama Legislature with general budgetary information as well as other information about state government finances such as the appropriation totals for selected state agencies for fiscal year 2009-10, bonded indebtedness, pay raises, health insurance and retirement rates and costs for state employees and teachers and the proration history for the Education Trust Fund and the State General Fund.

It was designed to be a quick reference guide for the membership concerning frequently asked questions about the above mentioned topics. Because this publication was printed prior to the end of fiscal year 2008-09 updates on fiscal year 2009-10 appropriation totals, including fiscal year 2008-09 rollover amounts, as well as actual State General Fund and Education Trust Fund receipts and expenditures for fiscal year 2008-09 may be obtained after October 1, 2009 by accessing this publication on the LFO website at [www.lfo.state.al.us](http://www.lfo.state.al.us).

We hope the information contained herein will be useful. Any suggestions that you might have for subsequent editions of this booklet are welcomed.

Sincerely,

Joyce Bigbee  
Director

JB:csh

# TABLE OF CONTENTS

Appropriations FY 2009 - 10 .....	1
Bonded Indebtedness .....	2
Proration .....	3
Education Trust Fund Reserve Funds .....	5
Cost of a Teacher Unit – Estimated .....	7
Cost-of-Living Salary Increases .....	8
Cost for Salary Increases for Teachers and Support Personnel and State Employees .....	9
Retiree Cost-of-Living Increases .....	10
Retirement System Employer and Employee Contribution Rates .....	11
Employer Cost for Retirement Systems .....	12
State Employees' Health Insurance Plan Rates .....	13
Public Education Employees' Health Insurance Plan Rates .....	14
Employer Cost for Teachers' and State Employees' Health Insurance .....	15
Retiree Health Care Trust Funds .....	16
Legislative Compensation .....	17
Cost of Legislative Session .....	18
Governor's Cabinet – Annual Compensation .....	19
Community Services Grants Appropriations .....	20
Alabama Capital Improvement Trust Fund .....	21
Education Trust Fund Receipts and Expenditures .....	22
General Fund Receipts and Expenditures .....	23
Tobacco Settlement .....	24
Federal Stimulus and Stabilization Funds Appropriated in FY 2010 .....	26
ABC Board .....	27
Agriculture and Industries .....	28

**Table of Contents Continued:**

<b>Archives and History.....</b>	<b>29</b>
<b>Attorney General, Office of the.....</b>	<b>30</b>
<b>Children’s Affairs, Department of .....</b>	<b>32</b>
<b>Conservation and Natural Resources.....</b>	<b>33</b>
<b>Corrections, Department of .....</b>	<b>34</b>
<b>Deaf and Blind, Institute for.....</b>	<b>38</b>
<b>Development Office, Alabama .....</b>	<b>39</b>
<b>District Attorneys.....</b>	<b>40</b>
<b>Economic and Community Affairs, Alabama Department of .....</b>	<b>41</b>
<b>Education, State Department of .....</b>	<b>44</b>
<b>Education, State Department of-Foundation Program/Local Boards....</b>	<b>45</b>
<b>Educational Television Commission .....</b>	<b>47</b>
<b>Environmental Management.....</b>	<b>48</b>
<b>Forestry Commission.....</b>	<b>50</b>
<b>Higher Education, Commission on .....</b>	<b>51</b>
<b>Higher Education, Senior Institutions .....</b>	<b>54</b>
<b>Higher Education, Two-Year College System .....</b>	<b>57</b>
<b>Historical Commission.....</b>	<b>59</b>
<b>Human Resources, Department of.....</b>	<b>60</b>
<b>Industrial Relations, Department of.....</b>	<b>61</b>
<b>Insurance Department.....</b>	<b>62</b>
<b>Medicaid Agency .....</b>	<b>64</b>
<b>Mental Health, Department of.....</b>	<b>66</b>
<b>Military Department and Armory Commission .....</b>	<b>67</b>
<b>Oil and Gas Board .....</b>	<b>68</b>

**Table of Contents Continued:**

<b>Pardon and Paroles, Board of .....</b>	<b>69</b>
<b>Public Health, Department of.....</b>	<b>70</b>
<b>Public Library Service .....</b>	<b>71</b>
<b>Public Safety, Department of.....</b>	<b>72</b>
<b>Public Service Commission.....</b>	<b>73</b>
<b>Retirement Systems .....</b>	<b>74</b>
<b>Revenue, Department of .....</b>	<b>76</b>
<b>Securities Commission .....</b>	<b>77</b>
<b>Senior Services, Department of .....</b>	<b>78</b>
<b>Tourism Department.....</b>	<b>79</b>
<b>Transportation, Department of.....</b>	<b>80</b>
<b>Unified Judicial System .....</b>	<b>82</b>
<b>Veterans Affairs, Department of.....</b>	<b>86</b>
<b>Youth Services, Department of .....</b>	<b>87</b>

**TOTAL APPROPRIATIONS  
FOR FY 2009-2010**

**General Fund Budget  
and Separate Bills**

**Millions**

State General Fund	\$1,518.4
Federal Stimulus and Fiscal Stabilization Funds*	\$957.6
Earmarked State Funds and Federal and Local Funds (includes transfers from other agencies)	<u>\$10,131.8</u>
<b>Total Appropriations</b>	<b><u>\$12,607.8</u></b>

\*Includes anticipated carry forward for Medicaid from FY 2009.

**Education Trust Fund Budget  
and Separate Bills**

Education Trust Fund	\$5,714.3
Federal Stimulus and Fiscal Stabilization Funds	\$512.9
Earmarked State Funds and Federal and Local Funds	\$6,019.1
<b>Total Appropriations</b>	<b><u>\$12,246.3</u></b>
<b>GRAND TOTAL ALL APPROPRIATIONS</b>	<b><u>\$24,920.4</u></b>

**BONDED INDEBTEDNESS  
AS OF SEPTEMBER 30, 2008  
G.O. AND REVENUE BONDS  
OUTSTANDING DEBT**

	<b>Net Principal Amount</b>	<b>Debt Per Capita</b>
General Obligation Bonds	\$721,144,802	\$154.69
Revenue Bonds	<u>5,137,588,820</u>	<u>\$1,102.04</u>
Total Outstanding Debt	\$5,858,733,622	\$1,256.73

**ANNUAL DEBT SERVICE  
ON G.O. DEBT OUTSTANDING  
AS OF SEPTEMBER 30, 2008**

<b>FY Ending 9/30</b>	<b>Total G.O. Bond Debt Service</b>
2009	\$65,877,686
2010	\$65,786,949
2011	\$63,732,651
2012	\$63,629,939
2013	\$63,622,149
2014 – thereafter	\$702,446,830

**ANNUAL DEBT SERVICE  
ON REVENUE BONDS  
OUTSTANDING AS OF SEPTEMBER 30, 2008**

<b>FY Ending 9/30</b>	<b>Total Debt Service</b>
2009	\$797,958,181
2010	\$453,379,587
2011	\$444,752,105
2012	\$439,998,194
2013	\$437,762,794
2014 – thereafter	\$5,223,749,833

Source: Examiners' Report on Bond Indebtedness.  
Filed: May 22, 2009

## PRORATION

Amendment No. 26 to the Alabama Constitution, 1901 provides that no warrants shall be drawn on the State Treasury unless sufficient revenues are available. In such instances, any expenditure from any funds that have insufficient revenue shall be prorated so that only available revenues are expended.

The most recent occurrences of proration in the Education Trust Fund and General Fund are listed below.

### EDUCATION TRUST FUND PRORATION

<u>Fiscal Year</u>	<u>%</u>
1978-79	3.0%
1979-80	6.1%
1980-81	3.6%
1985-86	4.2%
1990-91	6.5%
1991-92	3.0%
2000-01	6.2%
2002-03*	4.4%
2007-08**	6.5%
2008-09***	18.0%

### GENERAL FUND PRORATION

<u>Fiscal Year</u>	<u>%</u>
1982-83	15.0%
1985-86	3.0%
1990-91	2.6%
1991-92	5.5%
1992-93	3.2%

Percents are rounded to nearest tenth of a percent.

\*In FY 2002-03, \$179,993,229 was transferred to the Education Trust Fund from the Education Trust Fund Rainy Day Account and \$54,250 was transferred to the Education Trust Fund from the Proration Prevention Account in order to offset proration for state education agencies.

**Proration Continued:**

\*\*In FY 2007-08, \$439,372,515 was transferred to the Education Trust Fund from the Proration Prevention Account to completely offset proration in the Education Trust Fund.

\*\*\*In FY 2008-09, \$437,390,828 was transferred to the Education Trust Fund from the Education Trust Fund Rainy Day Account and \$129,590 was transferred to the Education Trust Fund from the Proration Prevention Account in order to reduce proration in the Education Trust Fund to 11%.

## EDUCATION TRUST FUND RESERVE FUNDS

### Proration Prevention Account

Act 88-981, now codified as Section 40-1-32.1, Code of Alabama 1975, created the Proration Prevention Account. Section 40-1-32.1 was amended in 1999 to require that 20% of any unanticipated and unappropriated ending balance in the ETF be deposited into the Account. In 2008, the Legislature further amended this code section by increasing the 20% requirement to 75%. The Account can also receive funds two other ways: (1) the Legislature can directly appropriate funds into the Account, and (2) interest earned on the Account remains in the Account. Since FY 2002, \$365,866,918 in unanticipated and unappropriated ending ETF balances have been deposited into the Account. Also, since FY 2002, appropriations totaling \$34 million as well as interest totaling \$39,559,847.18 have been deposited into the Account. The balance in the Account at the end of each fiscal year is shown below:

FY 2002	12,385
FY 2003*	0
FY 2004	11,101
FY 2005	17,047,968
FY 2006	242,663,352
FY 2007	428,632,342
FY 2008**	0
FY 2009	0

\*During FY 2003, \$54,250 was transferred to the ETF to offset proration.

\*\*During FY 2008, \$439,372,515 was transferred to the ETF to avoid proration.

### Rainy Day Account – Education Trust Fund

Amendment 709 to the Alabama Constitution originally created the ETF Rainy Day Account as a part of the Alabama Trust Fund. Amendment 803 to the Alabama Constitution repealed Amendment 709 and reestablished the ETF Rainy Day Account within the Alabama Trust Fund. Initially, the maximum balance in the Account was \$248 million. Under the new scheme, the maximum amount available for withdrawal equals 6.5% of the ETF appropriations for the prior fiscal year less any amounts previously withdrawn that have not been repaid. Amendment 803 also increased from five years to six years the Account's repayment deadline. In FY 2009, withdrawals from the Account were restricted to the amount necessary to reach the highest estimated available revenue for the fiscal year. Beginning in FY 2010, withdrawals are restricted to the amount necessary to reach the average estimated available revenue for the fiscal year. In FY 2003, \$179,993,229 was withdrawn from the Account. In FY 2009, the maximum withdrawal of \$437,390,828 was made. The balance in the Account at the end of each fiscal year is shown below:

**Education Trust Fund Reserve/Rainy Day Accounts Continued:**

FY 2002	248,000,000
FY 2003	68,006,771
FY 2004	104,006,771
FY 2005	140,006,771
FY 2006	176,006,771
FY 2007	248,000,000
FY 2008	248,000,000
FY 2009	0

Note: When funds are transferred from this Account, an additional amount equal to 25% of the transfer amount is transferred from the Alabama Trust Fund to the County and Municipal Government Capital Improvement Trust Fund.

**Rainy Day Account – State General Fund**

Amendment 803 to the Alabama Constitution also created the State General Fund Rainy Day Account as a part of the Alabama Trust Fund. At no time may the amount withdrawn from this Account exceed 10% of General Fund appropriations for the previous fiscal year less any amounts previously withdrawn which have not been repaid. The Legislature must replenish the Account within 10 years after withdrawal. In FY 2009, withdrawals from the Account were restricted to the amount necessary to reach the highest estimated available revenue for the fiscal year. Beginning in FY 2010, withdrawals from the Account are restricted to the amount necessary to reach the average of the estimated available revenue for the fiscal year. The balance in the Account at the end of FY 2009 was \$188,329,374. It is estimated that the FY 2010 balance will be \$179,334,655.

Note: When funds are transferred from this Account, an additional amount equal to 25% of the transfer amount is transferred from the Alabama Trust Fund to the County and Municipal Government Capital Improvement Trust Fund.

**ESTIMATED COST OF A TEACHER UNIT  
FY 2009-10**

**Salary Matrix for FY 2009-10**

Years Experience	Degree Level				
	BS	MS	6 Year	Doctor	No Degree
Exp < 3	36,144	41,564	44,818	48,071	36,144
3 < exp < 6	39,756	45,720	49,297	52,877	39,756
6 < exp < 9	41,497	47,721	51,470	55,191	41,497
9 < exp < 12	42,053	48,362	52,148	55,932	42,053
12 < exp < 15	42,818	49,238	53,093	56,949	42,818
15 < exp < 18	43,794	50,364	54,305	58,244	43,794
18 < exp < 21	44,360	51,012	55,005	58,999	44,360
21 < exp < 24	44,926	51,666	55,708	59,752	44,926
24 < exp < 27	45,461	52,201	56,245	60,288	45,461
27 > exp	45,997	52,737	56,780	60,824	45,997

**Average Salary (FY 2009-10)** \$46,706

**Fringe Benefits**

FICA and Medicare @ 7.65%	3,573
TRS @ 12.51%	5,843
PEEHIB @ \$752 per month	9,024
Unemployment Compensation @ 0.125%	58
Leave 7 days @ \$60 per day	420

**Classroom Instructional Support**

Student Materials	0
Technology	0
Professional Development	0
Library Enhancement	0
Common Purchase	0

**Other Current Expense** 12,302

**TOTAL** \$77,926

## COST OF LIVING SALARY INCREASES

	State Employees	Teachers
1990-91	7.5%	8.0%
1991-92	0.0%	0.0%
1992-93	0.0%	0.0%
1993-94	0.0% *	6.5%
1994-95	8.0%	8.5%
1995-96	0.0%	0.0%
1996-97	0.0%	4.0%
1997-98	0.0%	0.0%
1998-99	8.0%	8.5%
1999-00	0.0%	0.0%
2000-01	2.0%	1%-5.5% (depending upon experience)
2001-02	2.0%	0.0%
2002-03	3.0%	3.0%
2003-04	0.0%	0.0%
2004-05	0.0%	0.0%
2005-06	6.0%	6.0%
2006-07	5.0%	5.0%
2007-08	3.5%	7.0%
2008-09	3.5%	0.0%
2009-2010	0.0%	0.0%

\*State employees received a one-time \$1,250 bonus in lieu of a salary increase.

**COSTS FOR SALARY INCREASES FOR  
TEACHERS AND SUPPORT PERSONNEL IN K-14  
FISCAL YEARS 1996-2010**

<b>FISCAL YEAR</b>	<b>PERCENT INCREASE</b>		<b>ESTIMATED COST TO ETF</b>
1996	0%		
1997	4%		86.3 million
1998	0%		
1999	8.5%		184.8 million
2000	0%		
2001	1%-5.5%	(Depending upon experience)	114.4 million
2002	0%		
2003	3%		80.0 million
2004	0%		
2005	0%		
2006	6%		184.8 million
2007	5%		175.9 million
2008	7%		269.4 million
2009	0%		
2010	0%		

**COSTS FOR SALARY INCREASES FOR  
STATE EMPLOYEES  
FISCAL YEARS 1996-2010**

<b>FISCAL YEAR</b>	<b>PERCENT INCREASE</b>	<b>TOTAL COST</b>	<b>ADDITIONAL AMOUNT APPROPRIATED FROM GENERAL FUND</b>
1996	0%		
1997	0%		
1998	0%		
1999	8%	96.0 million	9.3 million*
2000	0%		
2001	2%	24.4 million	4.25 million**
2002	2%	24.4 million	0.00
2003	3%	40.5 million	13.0 million
2004	0%		
2005	0%		
2006	6%	86.1 million	30.0 million
2007	5%	77.9 million	26.7 million
2008	3.5%	62.8 million	21.0 million
2009	3.5%	67.1 million	24.5 million
2010	0.0%		

\*Remainder paid from retirement contribution savings and other agency funds.

\*\*Represents one-half the State General Fund cost of the pay raise.

## RETIREE COST OF LIVING INCREASES

Fiscal Year	Employees' Retirement System	Teachers' Retirement System
1990-91	\$1/mo. for each year of service, plus \$3/mo. for each year since retirement.	\$1/mo. for each year of service, plus \$3/mo. for each year since retirement.
1991-92	None	None
1992-93	None	None
1993-94	1.28%, plus \$1.28/mo. for each year of service and for each year since retirement.	1.28%, plus \$1.28/mo. for each year of service and for each year since retirement.
1994-95	2.5%, plus \$1.50/mo. for each year of service and \$1/mo. for each year since retirement; minimum of \$25/mo.	2.5%, plus \$1.50/mo. for each year of service and \$1/mo. for each year since retirement; minimum of \$25/mo.
1995-96	None	None
1996-97	2.0%, plus \$1/mo. for each year of service; minimum of \$25/mo.	2.0%, plus \$1/mo. for each year of service; minimum of \$25/mo.
1997-98	None	None
1998-99	4.0%, plus \$2/mo. for each year of service; minimum of \$30/mo.	4.0%, plus \$2/mo. for each year of service; minimum of \$30/mo.
1999-00	None	None
2000-01	4.0%; minimum of \$25/mo.	4.0%; minimum of \$15/mo.
2001-02	None	None
2002-03	3.0%; minimum of \$15/mo.	3.0%; minimum of \$15/mo.
2003-04	None	None
2004-05	None	None
2005-06	4.0%; minimum of \$15/mo.	4.0%; minimum of \$15/mo.
2006-07	7.0%; minimum of \$25/mo.	7.0%; minimum of \$25/mo.
2007-08	One-time bonus equal to \$1/mo. for each year of service.	One-time bonus equal to \$2/mo. for each year of service.
2008-09	One-time bonus equal to \$1/mo. for each year of service.	None
2009-2010	None	None

## RETIREMENT SYSTEM CONTRIBUTION RATES

### Employer Contribution Rates:

	<u>Teachers</u>	<u>State Employees</u>	<u>State Police</u>	<u>Judges</u>
FY 1986	7.57%	6.55%	12.89%	35.70%
FY 1987	7.57%	7.10%	13.44%	35.70%
FY 1988	7.57%	7.10%	15.69%	35.70%
FY 1989	7.57%	7.10%	13.44%	37.58%
FY 1990	7.57%	7.10%	13.44%	27.00%
FY 1991	7.57%	7.12%	13.46%	27.00%
FY 1992	6.35%	6.02%	12.59%	27.00%
FY 1993	6.35%	6.00%	12.59%	28.70%
FY 1994	6.31%	6.00%	14.23%	27.01%
FY 1995	6.31%	6.99%	15.22%	27.01%
FY 1996	9.23%	6.99%	15.22%	23.05%
FY 1997	9.23%	6.99%	15.17%	19.71%
FY 1998	9.66%	7.56%	15.74%	19.71%
FY 1999	4.03%	3.11%	6.85%	9.16%
FY 2000	6.38%	4.08%	9.45%	21.19%
FY 2001	6.38%	4.08%	9.45%	21.19%
FY 2002	5.96%	3.95%	9.24%	21.19%
FY 2003	5.02%	3.95%	9.24%	21.19%
FY 2004	6.56%	4.19%	13.87%	21.93%
FY 2005	7.03%	5.57%	18.03%	21.93%
FY 2006	8.17%	6.77%	21.36%	21.93%
FY 2007	9.36%	7.78%	24.12%	22.50%
FY 2008*	11.75%	10.26%	30.42%	23.23%
FY 2009**	12.07%	11.88%	30.99%	23.23%
FY 2010	12.51%	11.94%	30.57%	24.20%

\*The FY 2008 rates include the following one-time amounts attributable to bonus payments to retirees: teachers, .69%; state employees, .42%; state police, .42%; judges, .18%.

\*\*The FY 2009 rates include the following one-time amounts attributable to bonus payments to retirees: state employees, .51%; state police, .51%; judges, .18%.

### Employee Contribution Rates:

- 5% Teachers, State Employees (except state police and certified law enforcement, correctional officers and firemen)
- 10% State Police
- 6% Judges and certified law enforcement, correctional officers, and firemen

Note: Employee contribution rates are set by statute.

**HISTORY OF EMPLOYER COST FOR RETIREMENT SYSTEMS**

<b>FY</b>	<b>TRS <sup>(1)</sup></b>	<b>ERS <sup>(2)</sup></b>	<b>JRF <sup>(3)</sup></b>
1991	248,442,003	61,270,340	5,120,533
1992	233,507,746	53,628,567	5,979,485
1993	235,429,461	54,506,267	6,859,219
1994	245,783,913	56,265,932	6,280,387
1995	289,703,296	69,619,376	6,854,217
1996	284,615,456	68,802,114	6,494,717
1997	328,195,083	68,911,987	5,866,165
1998	355,953,472	74,055,482	5,397,838
1999 *	182,895,065	33,428,569	2,969,304
2000	267,906,340	43,442,959	5,582,715
2001	280,051,290	49,144,145	7,531,949
2002	266,510,671	46,053,625	8,200,383
2003	238,725,494	48,522,435	8,627,322
2004	305,887,402	52,218,449	8,982,290
2005	343,724,774	68,739,801	8,946,381
2006	425,544,602	90,951,802	8,915,570
2007	532,004,599	115,156,793	9,293,711
2008	716,912,822	160,623,070	9,861,941
2009	760,694,000	194,844,000	10,657,000
2010	788,424,000	200,389,000	11,109,000

<sup>(1)</sup> Teachers' Retirement System **total** cost. Since FY 1996 a small portion of the employer contribution shown above has been paid from funds other than the ETF.

<sup>(2)</sup> Employees' Retirement System **total** cost.

<sup>(3)</sup> Judicial Retirement Fund **total** cost.

\*The Retirement System changed from book to market value for assets in FY 1999, resulting in a lowering of the employer rate/contributions most significantly in FY 1999, but which also affected employer contributions each year thereafter to a lesser degree.

Note: FY 2009 amounts shown are the budgeted amounts. The amounts shown for FY 2010 are estimated amounts.

**STATE EMPLOYEES' HEALTH INSURANCE PLAN (SEHIP)  
MONTHLY RATES**

<b>Fiscal Year</b>	<b>Employer Cost Per Active Employee</b>	<b>Active Employee Cost for Single Coverage</b>	<b>Active Employee Cost for Dependent Coverage</b>	<b>Tobacco User Surcharge</b>
1991-92	195.00		141.00	
1992-93	211.00		152.00	
1992-93	211.00		164.00	
1993-94	243.00		164.00	
1994-95	255.00		164.00	
1995-96	255.00		164.00	
1996-97	255.00		164.00	
1997-98	255.00		164.00	
1998-99	320.00		164.00	
1999-00	357.00		164.00	
2000-01	400.00		164.00	
2001-02	445.00		164.00	
2002-03	490.00		164.00	
2003-04 <sup>(1)</sup>	550.00		164.00	
2004-05	650.00		164.00	
2005-06 <sup>(2)</sup>	668.00		164.00	20.00
2006-07 <sup>(3)</sup>	717.00		180.00	22.00
2007-08 <sup>(4)</sup>	775.00		180.00	24.00
2008-09 <sup>(4)</sup>	775.00		180.00	25.00
2009-10 <sup>(5)</sup>	805.00	15.00 <sup>(6)</sup>	190.00	30.00

<sup>(1)</sup> A one month credit of \$250 reduced the effective monthly employer cost per active employee to \$529.17.

<sup>(2)</sup> A one month credit of \$216 reduced the effective monthly employer cost per active employee to \$650.

<sup>(3)</sup> A one month credit of \$180 reduced the effective monthly dependent coverage rate paid by active employees to \$165.

<sup>(4)</sup> A one month credit of \$775 reduced the effective monthly cost per active employee to \$710.42

<sup>(5)</sup> In FY 2009-10, state agencies will make eleven monthly payments, reducing the effective rate to \$737.92.

<sup>(6)</sup> The active employee cost for FY 2009-10 assumes that the employee qualifies for a \$25 per month wellness discount.

**PUBLIC EDUCATION EMPLOYEES' HEALTH INSURANCE PLAN  
(PEEHIP) – MONTHLY RATES**

<b>Fiscal Year</b>	<b>Employer Cost Per Active Employee</b>	<b>Active Employee Contribution for Individual Coverage</b>	<b>Active Employee Cost for Dependent Coverage</b>
1986-87	N/A	2.00	93.00
1987-88	N/A	2.00	93.00
1988-89	N/A	2.00	93.00
1989-90	N/A	2.00	122.00
1990-91	N/A	2.00	122.00
1991-92	N/A	2.00	122.00
1992-93	N/A	2.00	122.00
1993-94	N/A	2.00	122.00
1994-95	N/A	2.00	122.00
1995-96	210.50	2.00	122.00
1996-97	165.00	2.00	122.00
1997-98	200.00	2.00	122.00
1998-99	225.00	2.00	122.00
1999-00	317.00	2.00	122.00
2000-01	345.00	2.00	132.00
2001-02	414.00	2.00	132.00
2002-03	433.00	2.00	132.00
2003-04	479.00	2.00	132.00
2004-05	583.00	2.00	132.00
2005-06	668.00	2.00	132.00
2006-07	717.00	2.00	132.00
2007-08	775.00	2.00	132.00
2008-09	752.00	2.00	132.00
2009-10	752.00	2.00	132.00

Note: Prior to FY 1995-96, PEEHIP received a direct appropriation from the ETF; therefore, there was no "Employer Cost for Active Employees" for those fiscal years. The rates shown for individual and dependent coverage beginning with FY 2006 are after application of the tobacco user discount (\$20 per month per person effective FY 2006; increased to \$22 per month per person effective FY 2008). Unless an employee with employer paid individual coverage or with a spouse covered as a dependent declares that they and the covered spouse have not used tobacco products within the last 12 months, the additional monthly amount must be paid by the employee. Note that the active employee cost for dependent coverage totals \$134 (i.e. both the \$2 and the \$132 amounts must be paid.)

**HISTORY OF EMPLOYER COST FOR  
TEACHERS' AND STATE EMPLOYEES' HEALTH INSURANCE**

<b>FY</b>	<b>PEEHIP <sup>(1)</sup></b>	<b>SEHIP <sup>(2)</sup></b>
1991	129,405,630	88,637,596
1992	162,763,300	88,735,693
1993	237,911,040	97,165,197
1994	237,911,040	103,629,524
1995	117,500,000	117,989,175
1996	214,606,434	115,667,901
1997	180,450,600	112,947,717
1998	271,768,000	91,502,406
1999	317,273,000	131,585,762
2000	373,727,784	148,115,489
2001	404,200,620	169,112,747
2002	481,170,672	193,682,768
2003	509,244,372	217,474,419
2004	566,126,268	227,042,877
2005	698,704,512	276,662,054
2006	805,407,600	281,492,460
2007	881,918,604	319,123,823
2008	961,452,126	322,591,716
2009	932,919,000	323,053,453
2010	932,919,000	362,269,321

<sup>(1)</sup> Public Education Employees' Health Insurance Plan. For FY 1991 through FY 1995 PEEHIP received an appropriation from the ETF. Since FY 1996 premiums have been paid directly by employers based on a premium rate set in the ETF budget act. A small portion of these premiums are paid from funds other than the ETF. The amounts shown above reflect total cost.

<sup>(2)</sup> State Employees' Health Insurance Plan - total cost.

Note: FY 2009 amounts shown are the budgeted amounts. The amounts shown for FY 2010 are estimated amounts.

## RETIREE HEALTH CARE TRUST FUNDS

### **Public Education Employees' Health Insurance Board (PEEHIB)**

In response to a requirement by the Governmental Accounting Standard Board (GASB) that liabilities for retiree benefits be recognized on a current basis, Act 2007-16 authorized the PEEHIB to create an irrevocable trust for the purpose of holding, investing, and distributing assets to be used for certain retiree benefits. The trust fund balance, as of September 30, 2008, was \$579,813,000.

### **State Employees' Insurance Board (SEIB)**

In response to a requirement by the Governmental Accounting Standard Board (GASB) that liabilities for retiree benefits be recognized on a current basis, Act 2007-16 authorized the SEIB to create an irrevocable trust for the purpose of holding, investing, and distributing assets to be used for certain retiree benefits. The trust fund balance, as of September 30, 2008, was \$52,184,737.

Note: Constitutional Amendment No. 798 provides that the assets of the trusts shall be used exclusively for the expenses of administering the trusts and for health care benefits.

## LEGISLATIVE COMPENSATION

<b>Annual Compensation for House and Senate Members</b>	
Salary (\$10/day for 105 days)	\$1,050
Expense Allowance (\$50/day for 45 days)	\$2,250
Monthly Expense Allowance (\$4,108/month for 12 months)	\$49,296
<b>Total Annual Minimum Compensation</b>	<b>\$52,596</b>
<b>Annual Compensation for Speaker and Lt. Governor</b>	
Salary (\$12/day for 105 days)	\$1,260
Expense Allowance (\$50/day for 45 days)	\$2,250
Monthly Expense Allowance (\$4,108/month for 12 months)	\$49,296
Additional Monthly Expense Allowance (\$1,500/month for 12 months)	\$18,000
<b>Total Annual Minimum Compensation</b>	<b>\$70,806</b>

The above compensation total excludes the additional salary (\$10/day) and expense allowance (\$50/day) that legislators would receive for attending a Special Legislative Session. It also excludes mileage reimbursement that each legislator receives for attending a legislative session (\$.10 per mile round-trip from residence to capitol, once per session).

Act 07-75 stated that the monthly expense allowance provided to each legislator would be "adjusted annually to reflect any increase in the cost of living as indicated by the United States Department of Labor Consumer Price Index." Specifically, it was determined that the Consumer Price Index for All Urban Consumers (CPI-U), as published by the Department of Labor's Bureau of Labor Statistics (BLS), would be utilized when calculating the annual increase. The annual increase will be the average of the twelve monthly annual changes from January to December of each year, rounded to the nearest one tenth of one percent (this change is published annually by the BLS). The new payment will be rounded to the nearest dollar amount. The increase will be effective April 1 of each year with the first increased payment being made on April 30, 2008. The monthly expense allowance shown above reflects an adjusted increase of 3.8%, from \$3,958/month to \$4,108/month.

**Source:**

Salary: Amendment 57 of the Constitution; Section 29-1-8 of the Code of Alabama 1975

Expense Allowance: Act 91-108

Monthly Expense Allowance: Act 07-75

Additional Monthly Expense Allowance: Act 71-1196

## ESTIMATED COST OF A LEGISLATIVE SESSION

Regular Session	# of Days or Months	Salary Per Day	Total #	Monthly Costs	Total Costs
Legislator Salary	105 days	\$10	139		\$145,950
Speaker & Lt. Gov	105 days	\$12	2		\$2,520
Mileage (House/Senate)					\$3,600
Temporary Employees	4 months			\$150,000	\$600,000
Security	3 months			\$8,750	\$26,250
Legislator Expense Allowance	45 days	\$50	141		\$317,250
Delivery of Journals (House/Senate)					\$1,600
Supplies (House/Senate and Data Processing)	3 months				\$60,000
<b>Total Regular Session</b>					<b>\$1,157,170</b>

Note: Assumes one month administrative wrap-up in a Regular Session.

Does not include the monthly expense allowances provided to each Legislator.

105 calendar days = 15 weeks @ 3 days per week = Estimated 45 days for Legislator Expense Allowance.

**ANNUAL COMPENSATION: GOVERNOR'S CABINET**

<b>Department</b>	<b>Name</b>	<b>Annual Salary as of 10/1/2008</b>
Finance Dept.	Bill Newton	\$177,266.40
Banking Dept.	John Harrison	\$157,380.03
Dept. of Industrial Relations	Tom Surtees	\$139,259.00
Dept. of Insurance	Jim Ridling	\$91,013.76
Dept. of Labor	Jim Bennett	\$91,013.76
Dept. of Mental Health/Retardation	John Houston	\$145,296.61
Dept. of Revenue	Tim Russell	\$91,013.76
Dept. of Senior Services	Irene Collins	\$91,013.76
Tourism & Travel	Lee Sentell	\$91,013.76
Dept. of Transportation	Joe McInnes	\$91,013.76
Dept. of Public Safety	Col. Chris Murphy	\$91,013.76
Alabama Development Office	Neal Wade	\$165,081.67
Emergency Management Agency	Brock Long	\$124,200.00
Military Dept.	Adj. Gen. A.C. Blalock	\$91,013.76
Dept. of Homeland Security	Jim Walker	\$149,034.04
Medicaid Agency	Carol Steckel	\$138,304.15
Dept. of Human Resources	Nancy Buckner	\$140,000
Alcoholic Beverage Control	Emory Folmar	\$91,013.76
Dept. of Children's Affairs	Dr. Marquita Davis	\$110,000
Dept. of Economic and Community Affairs	Doni Ingram	\$76,754.16
Dept. of Corrections	Richard Allen	\$123,726.55
Dept. of Conservation & Natural Resources	Barnett Lawley	\$91,013.76

Payroll Information: Personnel Dept. quarterly report ending 6/30/09.

Cabinet Information: Gov. Riley's website - 9/16/09.

Note: Raises frozen by Governor

**COMMUNITY SERVICES GRANT PROGRAM**

<b>Fiscal Year</b>	<b>ETF</b>	<b>SGF</b>
1997	\$5,000,000	\$4,000,000
1998	-	
1999	\$5,000,000	
2000	\$10,000,000	
2001	\$12,128,340	
2002	\$12,393,833	
2003	\$11,207,396	
2004	-	
2005	\$11,700,000	
2006	\$13,404,633	
2007	\$13,800,000	
2008	\$14,766,000	
2009	\$9,113,389	\$2,000,000
2010	\$9,113,389	\$6,500,000

The FY 01 and 03 amounts represent the amounts appropriated after proration of 6.2% and 4.4%, respectively, was declared.

The FY 06 appropriation includes a \$12.8 million appropriation plus \$604,633 for those legislative districts which did not receive their full FY 05 allocation due to a lawsuit.

The FY 09 and 10 community services grants amounts appropriated from the State General Fund are for House Legislative Districts only.

## ALABAMA CAPITAL IMPROVEMENT TRUST FUND

The Alabama Capital Improvement Trust Fund (ACITF) was created in 2000 by Amendment 666 to the Alabama Constitution of 1901. Twenty-eight percent of royalties received by the state from offshore production of oil or natural gas are deposited into the ACITF. Amounts in the ACITF can be appropriated for capital improvements, including debt service on bonds.

	<u>Actual FY 2008</u>	<u>Budgeted FY 2009</u>	<u>Estimated FY 2010</u>
Beginning Balance	39.3	18.1	19.6
Royalties Received	71.7	96.7	51.0
Total Available	111.0	114.8	70.6
Expenditures/Appropriations	92.9	95.2	70.6
Ending Balance	18.1	19.6	0

## EDUCATION TRUST FUND RECEIPTS AND EXPENDITURES

Fiscal Year	Receipts		Expenditures
	Amount	Change	Amount
1985	\$1,822,580,225	6.03%	\$1,824,205,375
1986	\$1,886,141,299	3.49%	\$2,136,512,263
1987	\$2,087,058,529	10.65%	\$2,047,466,345
1988	\$2,217,014,886	6.23%	\$2,112,773,900
1989	\$2,373,481,524	7.06%	\$2,483,060,672
1990	\$2,481,832,988	4.57%	\$2,482,004,527
1991	\$2,524,540,232	1.72%	\$2,558,206,830
1992	\$2,623,153,373	3.91%	\$2,621,045,355
1993	\$2,827,045,036	7.77%	\$2,757,564,315
1994	\$3,020,782,528	6.85%	\$2,997,076,069
1995	\$3,203,670,344	6.05%	\$3,287,001,937
1996	\$3,346,547,104	4.46%	\$3,345,617,091
1997	\$3,527,022,712	5.39%	\$3,550,737,409
1998	\$3,734,614,941	5.89%	\$3,721,359,200
1999	\$3,911,479,690	4.74%	\$3,911,156,500
2000	\$4,114,571,877	5.19%	\$4,115,371,659
2001	\$4,015,374,184	-2.41%	\$4,036,182,733
2002	\$4,133,348,664	2.94%	\$4,138,712,868
2003	\$4,249,955,349	2.82%	\$4,252,726,523
2004	\$4,456,026,869	4.85%	\$4,281,961,572
2005	\$4,969,255,238	11.52%	\$4,597,071,292
2006	\$5,498,361,937	10.65%	\$5,383,104,303
2007	\$5,854,027,193	6.47%	\$6,273,363,954
2008	\$6,414,630,671	9.58%	\$6,694,675,698

Note: Because this publication was printed prior to the end of fiscal year 2009, actual receipts and expenditures for fiscal year 2009 may be obtained after October 1, 2009 by accessing this publication on the LFO website at [www.lfo.state.al.us](http://www.lfo.state.al.us).

**GENERAL FUND RECEIPTS AND EXPENDITURES**

Fiscal Year	Receipts		Expenditures
	Amount	Change	Amount
1985	\$608,864,958	19.92%	\$572,933,294
1986	\$583,925,573	-4.10%	\$633,057,336
1987	\$603,782,859	3.40%	\$582,355,893
1988	\$669,225,673	10.84%	\$666,452,317
1989	\$713,463,977	6.61%	\$727,436,019
1990	\$750,230,918	5.15%	\$737,967,964
1991	\$797,383,173	6.29%	\$827,730,791
1992	\$808,334,687	1.37%	\$785,578,853
1993	\$832,517,581	2.99%	\$810,547,704
1994	\$836,506,295	0.48%	\$862,748,583
1995	\$874,315,598	4.52%	\$864,335,797
1996	\$896,910,316	2.58%	\$893,923,750
1997	\$913,394,224	1.84%	\$924,512,500
1998	\$980,688,962	7.37%	\$966,234,023
1999	\$1,028,896,601	4.92%	\$1,008,230,029
2000	\$1,130,069,255	9.83%	\$1,127,796,166
2001	\$1,163,074,891	2.92%	\$1,198,432,091
2002	\$1,144,312,996	-1.61%	\$1,205,131,989
2003	\$1,313,933,803	14.82%	\$1,234,836,991
2004	\$1,297,452,185	-1.25%	\$1,261,423,837
2005	\$1,437,229,950	10.77%	\$1,425,762,453
2006	\$1,656,451,339	15.25%	\$1,561,372,278
2007	\$1,634,581,148	-1.32%	\$1,658,721,549
2008	\$1,814,312,603	11.00%	\$1,834,413,761

Note: Because this publication was printed prior to the end of fiscal year 2009, actual receipts and expenditures for fiscal year 2009 may be obtained after October 1, 2009 by accessing this publication on the LFO website at [www.lfo.state.al.us](http://www.lfo.state.al.us).

## TOBACCO SETTLEMENT

On November 23, 1998, 46 states, the District of Columbia, America Samoa, the Northern Marianas, Guam, the Virgin Island and Puerto Rico reached a settlement with Phillip Morris, R. J. Reynolds Tobacco Company, Brown and Williamson Tobacco and Lorillard Tobacco Company. The settlement calls for the companies to pay the states, the District of Columbia and territories more than \$206 billion over 25 years of which Alabama is projected to receive \$3.04 billion. As of September 15, 2009, Alabama had received \$1,095,685,908. Annual receipts were as follows:

FY 2000	\$131,742,413
FY 2001	\$103,076,538
FY 2002	\$121,567,706
FY 2003	\$119,296,356
FY 2004	\$101,871,680
FY 2005	\$101,871,492
FY 2006	\$94,553,640
FY 2007	\$98,969,041
FY 2008	\$106,148,659
FY 2009	\$116,588,383

Alabama is projected to have a FY 2009 beginning balance of \$7,861,840 and projected receipts of \$109,173,427 for a total of \$117,035,267. The Alabama Legislature appropriated \$93,470,208 in tobacco revenue to be expended in FY 2010. In addition, \$12,634,428 that was statutorily allocated to the Department of Education will be transferred to the State General Fund (pursuant to Act No. 405 of the 2009 Regular Session) for a total of \$106,104,635 in tobacco revenue to be expended in FY 2010. Appropriations are as follows:

### Children First Trust Fund:

Agency	Appropriation
Alcoholic Beverage Control Board	\$701,817
Department of Child Abuse and Neglect Prevention	2,770,740
Department of Forensic Sciences	554,148
Department of Human Resources	11,082,960
Juvenile Probation Services Fund	5,541,480
Medicaid Agency	1,939,519
Department of Mental Health and Mental Retardation	2,770,740
Multiple Needs Children's Fund	2,448,540
Department of Public Health	5,541,480
Department of Rehabilitation Services	300,000
Department of Youth Services	9,420,516
<b>Total Appropriation</b>	<b>\$43,071,940</b>

**Tobacco Settlement Continued:**

**Other Tobacco Revenue:**

<b>Agency</b>	<b>Appropriation</b>
Department of Children's Affairs	\$191,188
21st Century Debt Services	13,000,000
Senior Services Trust Fund	1,583,280
Alabama Medicaid Agency	33,248,880
Department of Senior Services - Medicaid Waiver	2,374,920
<b>Total Other Tobacco Appropriation</b>	<b>\$50,398,268</b>
<b>State General Fund Transfer</b>	<b>\$12,634,427</b>
<b>Total Tobacco Revenue Appropriation</b>	<b>\$106,104,635</b>

**FEDERAL STIMULUS AND STABILIZATION FUNDS  
APPROPRIATED IN FY 2010**

**State General Fund**

<b>Department</b>	<b>Amount</b>
Agriculture and Industries	\$ 1,000,000
Corrections**	\$ 118,586,000
Departmental Emergency Fund**	\$ 14,100,000
Economic and Community Affairs	\$ 102,472,624
Environmental Management	\$ 49,746,500
Finance	\$ 2,000,000
Human Resources	\$ 34,636,323
Industrial Relations	\$ 16,234,887
Medicaid *	\$ 380,260,751
Mental Health	\$ 42,336,042
Public Health	\$ 14,543,370
Senior Services	\$ 4,950,101
Transportation	\$ 176,696,083
<b>Total</b>	<b>\$ 957,562,681</b>

*\*Includes anticipated carry-forward from FY 2009.*

*\*\*Fiscal Stabilization Funds.*

**Education Trust Fund**

<b>Department</b>	<b>Amount</b>
Education*	\$ 400,812,780
Rehabilitation Services	\$ 10,106,848
State Council on the Arts	\$ 112,000
Universities**	\$ 101,008,041
Youth Services	\$ 930,476
<b>Total</b>	<b>\$ 512,970,145</b>

*\*Includes \$179,434,391 (Foundation Program) and \$17,735,504 (Community College System) in Fiscal Stabilization Funds.*

*\*\*Fiscal Stabilization Funds.*

## ABC BOARD

### DISTRIBUTION OF TAXES AND PROFITS IN FY 2007-2008

State General Fund	\$81,414,506
Mental Health	13,802,341
Human Resources	46,756,506
Education Trust Fund	22,419,772
Local Governments	6,746,573
<b>TOTAL</b>	<b>\$171,139,698</b>

### Pricing of a \$10 Bottle of Liquor Sold in ABC Stores

Cost of bottle – plus delivery	\$10.00
30% mark-up	3.00
56% state liquor tax	7.28
6% sales tax (4% state, 2% local)	<u>1.22</u>
<b>TOTAL RETAIL PRICE</b>	<b>\$21.50</b>

Appropriation to ABC Board for operating expenses  
(FY 2010) \$79,494,537

## AGRICULTURE AND INDUSTRIES

FY 2009-10 APPROPRIATION	TOTAL	% OF TOTAL
<b>STATE FUNDS</b>		
General Fund	16,616,569	41.26%
Agriculture Funds	17,956,009	44.59%
<b>TOTAL STATE FUNDS</b>	<b>34,572,578</b>	<b>85.85%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds	1,000,000	2.48%
Other Federal Funds	4,700,000	11.67%
<b>TOTAL FEDERAL &amp; LOCAL</b>	<b>5,700,000</b>	<b>14.15%</b>
<b>TOTAL APPROPRIATION</b>	<b>40,272,578</b>	<b>100.00%</b>

The Department of Agriculture and Industries provides inspection and grading services to the agriculture industry in the state, issues various agricultural permits and licenses, and performs lab and diagnostic services related to agricultural commodities.

	<u>Farms</u> (1,000)		<u>Acreage</u> (mil.)		<u>Average</u> (acre per farm)	
	<u>2000</u>	<u>2007</u>	<u>2000</u>	<u>2007</u>	<u>2000</u>	<u>2007</u>
US	2,167	2,075	945	931	436	449
AL	47	48	9	8.9	191	185

	<u>2008 Acres Harvested</u>	<u>2008 Production</u>
Cotton (all)	286,000	469,000 bales
Hay	900,000	1.98 million tons
Peanuts	193,000	636,900 thousand lbs
Soybeans	350,000	12.25 million bushels
Wheat	200,000	14.20 million bushels
Corn (grain)	235,000	24.44 million bushels

Sources: National Agricultural Statistics Service.  
State Rankings, 2008.

## ARCHIVES AND HISTORY

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	2,212,233	19.37%
Education Trust Fund	3,606,428	31.58%
Archives Capitol Contributions*	5,000,000	43.79%
Other	601,000	5.26%
<b>TOTAL STATE FUNDS</b>	<b>11,419,661</b>	<b>100.00%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>0</b>	<b>0.00%</b>
<b>TOTAL APPROPRIATION</b>	<b>11,419,661</b>	<b>100.00%</b>

*\*Represents estimated receipts from private donations, bond issues or other funds the Department hopes to receive to complete the museum project.*

The Alabama Department of Archives and History was founded in 1901 and was the first State Department of Archives and History in the United States (33 years before the establishment of the National Archives).

The Museum of Archives and History reported 32,571 visitors and 605 school tours with approximately 25,189 students for FY 2007-2008. The Resources Management function of the Department includes ensuring the preservation of and access to the historical records of state government, assistance in the preservation of historical local government records and the collection of non-governmental records and artifacts that help document Alabama history.

**ATTORNEY GENERAL**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	12,054,629	57.99%
General Fund – Consumer Protection	637,301	3.07%
General Fund – Consumer Utility Rate Hearing	265,464	1.28%
<b>TOTAL STATE FUNDS</b>	<b>12,957,394</b>	<b>62.33%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>1,467,075</b>	<b>7.06%</b>
<b>MISCELLANEOUS</b>	<b>6,362,463</b>	<b>30.61%</b>
<b>TOTAL APPROPRIATION</b>	<b>20,786,932</b>	<b>100.00%</b>

The Office of the Attorney General represents the State, its officers and agents and their employees, either directly or through an appointed official, in all legal matters affecting the operation of the state's agencies and departments and municipalities. The Office also issues opinions on statutes and questions of law and may investigate and prosecute all civil actions and other proceedings necessary to protect the rights and interests of the state and of the consuming public of the state in consumer utility rate hearings.

The Office of the Attorney General is made up of the following division units:

Administrative Hearings	General Civil and Administrative Law
Administrative Services	Investigations
Capital Litigation	Law Enforcement Unit
Constitutional Defense	Welfare Fraud Unit
Consumer Affairs	Opinions
Criminal Appeals	Public Corruption and White Collar Crimes
Environmental	Victim Assistance
Executive	Violent Crimes
Family Protection Unit	

**Attorney General Continued:**

Selected types and number of cases worked on and/or presented in court for FY 2007-2008 are as follows:

Consumer complaints received	4,257
Consumer complaints resolved	2,696
Savings to consumers	\$445,820
Medicaid Fraud (investigations)	132
Medicaid Fraud (indictments)	2
Medicaid Fraud (recoveries)	\$1,129,897
Medicaid Fraud (convictions)	2
Welfare Fraud (indictments)	147
Welfare Fraud (convictions)	103
Amount of welfare fraud restitution	\$449,230
Victim's hotline calls	2,582

\*Medicaid fraud data was not reported for the first quarter of FY 2008.

Section 36-15-3 of the Code of Alabama provides that the salary of the Attorney General shall be equal to the salary of an Associate Justice of the Supreme Court of Alabama. The Investment In Justice Act of 1999 (Act 99-427) provides for the base state salary of Associate Justices and for additional compensation based on bench experience. The Attorney General is paid the minimum base salary, effective October 1, 2008, of an Associate Justice (\$160,003), plus an additional \$2,000 (1.25% of the base salary) for each year of experience. Although Section 118 of the Constitution of Alabama provides that the compensation of the Attorney General shall not be increased or diminished during a term, this increase is given each year on the anniversary date of the Attorney General assuming that office.

## CHILDREN'S AFFAIRS

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	361,700	1.76%
Education Trust Fund	19,242,514	93.73%
<b>TOTAL STATE FUNDS</b>	19,604,214	95.49%
<b>FEDERAL &amp; LOCAL</b>	925,000	4.51%
<b>TOTAL APPROPRIATION</b>	20,529,214	100.00%

The Department of Children's Affairs was created to act as a coordinator for state and local agencies to ensure that services are maximized for the benefit of Alabama's children 19 years of age and younger. Specific responsibilities include:

- Assisting Alabama Children's Policy Council.
- Enhancing pre-kindergarten programs through the Office of School Readiness and the Head Start Program.
- Creating a database that will have all services that are available to children and families in Alabama.
- Hosting special seminars and programs.

## CONSERVATION AND NATURAL RESOURCES

FY 2009-10 APPROPRIATION	TOTAL	% OF TOTAL
<b>STATE FUNDS</b>		
State General Fund	275,000	0.18%
Conservation Funds	99,871,850	65.46%
<b>TOTAL STATE FUNDS</b>	100,146,850	65.64%
<b>FEDERAL &amp; LOCAL</b>	52,418,323	34.36%
<b>TOTAL APPROPRIATION</b>	152,565,173	100.00%

The Department of Conservation and Natural Resources scope of operations includes the administration, management and maintenance of 23 state parks; 22 public fishing lakes; 4 freshwater fish hatcheries; 30 wildlife management areas; 2 waterfowl refuges; 2 wildlife sanctuaries; 3 nature centers; 45,000 acres of trust lands managed for the benefit of several agencies; and 600,000 acres of state-owned submerged lands managed for the benefit of the State General Fund and Alabama Trust Fund. The Department provides public safety services for approximately one million boaters on over one million acres of recreational and commercial waterways in the state; implements the Coastal Area Management Program; and manages the 6,000 acres and on-site facilities of the Weeks Bay National Estuarine Research Reserve.

PERFORMANCE INDICATORS	FY 2007-2008
State park overall guest count	5,141,689
State park overnight guest count	1,149,074
Wildlife and Freshwater Fisheries Program arrests	8,638
Hunter education students	10,416
Number of deer harvested on management areas	4,853
Number of fish stocked in public waters	4,274,186
Number of fish kills investigated	23
Boats registered	273,359
Boating accidents investigated	108
Marine Police arrests	3,575
Marine Police warnings issued	5,979
Marine sanitation devices inspected	920
Coastal permit reviews	171

**CORRECTIONS**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	247,659,937	57.16%
Agricultural Fund	194,743	0.04%
Corrections Industries-Other Fund	25,535,582	5.89%
Drug Demand Fund	1,219,528	0.28%
Departmental Receipts	14,950,000	3.45%
Alabama Capital Improvement Trust Fund Transfer	2,171,200	0.50%
<b>TOTAL STATE FUNDS</b>	<b>291,730,990</b>	<b>67.34%</b>
<b>DOC MISCELLANEOUS &amp; FEDERAL</b>	<b>22,928,810</b>	<b>5.29%</b>
<b>Federal Funds – State Fiscal</b>		
<b>Stabilization Funds, Est.</b>	<b>118,586,00</b>	<b>27.37%</b>
<b>TOTAL APPROPRIATION</b>	<b>433,245,800</b>	<b>100.00%</b>

This amount does not include the \$20,000,000 conditional appropriation from the State General Fund.

Prison population totaled 29,959 as of the end of September 2008 (including institutions, work release, work centers, supervised intensive restitution, and state prisoners in county jails and in other locations).

**September 2008 Inmate Population by Sex and Race**

	<u>Male</u>	<u>Female</u>
White	10,605 (35.4%)	1,265 (4.2%)
Black	17,156 (57.3%)	895 (3.0%)
Other	38 (0.1%)	0 (0.0%)

**September 2008 Occupancy Rates**

Major institutions	188.5%
Work release	199.1%
Work centers	178.5%
Average time served by inmates separated in September 2008	39 months
% of inmate population with previous AL incarceration as of September 2008	61.9%
FY 2008 average annual maintenance cost per inmate	\$15,224

**Corrections Continued:**

**September 2008 Occupancy Rates Continued:**

Death row inmates as of the end of September 2008	205
Total inmate population sentenced under Habitual Offender Act as of September 2008	9,513 (31.8%)
Life without parole	537 (5.6% of habitual offenders)

**Sentencing Ranges for Non-Habitual Offenders (excluding enhancements):**

	<b>Misdemeanor</b>	<b>Felony</b>
Class C	Up to three (3) months imprisonment in the county jail, or a fine not to exceed \$500, or both	Not less than one (1) year and one (1) day and not more than ten (10) years imprisonment in the state penitentiary, and may include a fine not to exceed \$15,000.
Class B	Up to six (6) months imprisonment in the county jail, or a fine up to \$1,000, or both	Not less than two (2) years and not more than twenty (20) years imprisonment in the state penitentiary, and may include a fine not to exceed \$30,000.
Class A	Up to one (1) year imprisonment in the county jail, or a fine up to \$2,000 or both	Not less than ten (10) years and not more than ninety-nine (99) years or life imprisonment in the state penitentiary and may include a fine not to exceed \$60,000.

**Sentencing Ranges Under Habitual Offender:**

**Section 13A-5-9(a)**

Criminal defendant previously convicted of a felony and commits another felony.

<b>Conviction</b>	<b>Mandatory Punishment</b>
Class C felony <ul style="list-style-type: none"> <li>• One year, one day to ten years</li> <li>• fine up to \$15,000</li> </ul>	Class B felony <ul style="list-style-type: none"> <li>• Two to 20 years</li> <li>• fine up to \$30,000</li> </ul>
Class B felony <ul style="list-style-type: none"> <li>• Two to 20 years</li> <li>• fine up to \$30,000</li> </ul>	Class A felony <ul style="list-style-type: none"> <li>• Ten to 99 years</li> <li>• fine up to \$60,000</li> </ul>
Class A felony <ul style="list-style-type: none"> <li>• Ten to 99 years</li> <li>• fine up to \$60,000</li> </ul>	<ul style="list-style-type: none"> <li>• Imprisonment for life or for any term of not more than 99 years but not less than 15 years</li> <li>• fine up to \$60,000</li> </ul>

**Corrections Continued:**

**Section 13A-5-9(b)**

Criminal defendant previously convicted of any **two** felonies and commits another felony.

Conviction	Mandatory Punishment
Class C felony <ul style="list-style-type: none"> <li>• One year, one day to ten years</li> <li>• fine up to \$15,000</li> </ul>	Class A felony <ul style="list-style-type: none"> <li>• Ten to 99 years</li> <li>• fine up to \$60,000</li> </ul>
Class B felony <ul style="list-style-type: none"> <li>• Two to 20 years</li> <li>• fine up to \$30,000</li> </ul>	<ul style="list-style-type: none"> <li>• Imprisonment for life or for any term of not more than 99 years but not less than 15 years</li> <li>• fine up to \$60,000</li> </ul>
Class A felony <ul style="list-style-type: none"> <li>• Ten to 99 years</li> <li>• fine up to \$60,000</li> </ul>	<ul style="list-style-type: none"> <li>• Imprisonment for life or any term of not less than 99 years</li> <li>• fine up to \$60,000</li> </ul>

**Section 13A-5-9(c)**

Criminal defendant previously convicted of any **three** felonies and commits another felony.

Conviction	Mandatory Punishment
Class C felony <ul style="list-style-type: none"> <li>• One year, one day to ten years</li> <li>• fine up to \$15,000</li> </ul>	<ul style="list-style-type: none"> <li>• Imprisonment for life or for any term of not more than 99 years but not less than 15 years</li> <li>• fine up to \$60,000</li> </ul>
Class B felony <ul style="list-style-type: none"> <li>• Two to 20 years</li> <li>• fine up to \$30,000</li> </ul>	<ul style="list-style-type: none"> <li>• Imprisonment for life or any term of not less than 99 years</li> <li>• fine up to \$60,000</li> </ul>
Class A felony <ul style="list-style-type: none"> <li>• Ten to 99 years</li> <li>• fine up to \$60,000</li> </ul>	<ul style="list-style-type: none"> <li>• Where the defendant has no prior convictions for any Class A felony, he or she must be punished by imprisonment for life or life without the possibility of parole, in the discretion of the trial court.</li> </ul>
	<ul style="list-style-type: none"> <li>• Where the defendant has one or more prior convictions for any Class A felony, he or she must be punished by imprisonment for life without the possibility of parole.</li> </ul>

Split sentencing is provided by Section 15-18-8 of the Code of Alabama as an option available to judges to impose upon persons convicted of an offense who are sentenced to 20 years or less, except that persons convicted of a criminal sex offense involving a child which constitutes a Class A or B felony are not eligible for split sentencing.

The options and guidelines for split sentencing are as follows.

1. If the defendant's sentence does not exceed 15 years, the defendant may be confined for a period not to exceed three years and then the remainder of the sentence is suspended and the defendant is placed on probation for the amount of time specified by the court.

**Corrections Continued:**

If the defendant's sentence does not exceed 20 years but is more than 15 years, the defendant may be confined for a period not to exceed five years but not less than three years, and then the remainder of the sentence is suspended and the defendant is placed on probation for the amount of time specified by the court.

2. The defendant may be confined in Department of Corrections disciplinary, rehabilitation camp program for at least 90 days but not more than 180 days. The Commissioner or a designee is to report to the sentencing court whether or not the defendant completed the program. Upon receipt of the report, the sentencing court may suspend the sentence and place the defendant on probation or confine the defendant to an institution for not more than three years and the remainder of the sentence shall be suspended and the defendant placed on probation. Persons convicted of certain offenses are not eligible for this program.

No defendant serving a minimum period of confinement under split sentencing shall be eligible for parole or for deductions from the sentence under the Alabama Correctional Incentive Time ("Good Time") Act.

**INSTITUTE FOR DEAF AND BLIND**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
Education Trust Fund		
Adult Programs	10,107,689	12.39%
Children and Youth	27,884,350	34.18%
Industries for the Blind	8,613,302	10.56%
<b>TOTAL STATE FUNDS</b>	<b>46,605,341</b>	<b>57.12%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>34,985,711</b>	<b>42.88%</b>
<b>TOTAL APPROPRIATION</b>	<b>81,591,052</b>	<b>100.00%</b>

The Alabama Institute for Deaf and Blind (AIDB) is a comprehensive education and rehabilitation system serving children and adults who are deaf, blind and multi-disabled. Established in 1858, AIDB is funded through three major divisions: Children and Youth Programs, Adult Programs and the Industries for the Blind. AIDB provides services statewide through regional centers located in Auburn, Birmingham, Dothan, Huntsville, Montgomery, Mobile, Tuscumbia, Tuscaloosa and campus programs including residential services located in Talladega.

Regional Centers services span a lifetime including home and community programs for infants, toddlers, children, adults, and seniors. Accredited residential campus programs serve children ages three through 21 through the Alabama School for the Deaf, Alabama School for the Blind and the Helen Keller School of Alabama. The Gentry campus coordinates postsecondary rehabilitation and employment training for deaf and blind adults. AIDB is supported by appropriations from the Education Trust Fund, income from sales of blind-made products and other sources such as federal grants, private gifts and grants.

**ALABAMA DEVELOPMENT OFFICE**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	3,830,397	91.63%
Departmental Receipts	350,000	8.37%
<b>TOTAL STATE FUNDS</b>	<b>4,180,397</b>	<b>100.00%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>0</b>	<b>0.00%</b>
<b>TOTAL APPROPRIATION</b>	<b>4,180,397</b>	<b>100.00%</b>

The Alabama Development Office (ADO) aids in the recruitment of new industry, supports existing industry expansion, and promotes trade with other nations. The office operates through the following divisions:

**Administrative and Technology Division:** Provides general budgetary and accounting services and all computer and data processing services, coordinates all purchasing, and maintains property records and contract files.

**Recruitment Division:** Responsible for attracting industry to Alabama by encouraging and promoting foreign manufacturing investment in the state and supporting expansion and retention of existing business.

**Small Business Advocacy Division:** Provides information on the financial assistance available to small businesses as well as help with regulatory issues. In addition, this Division works closely with the Small Business Development Consortium and in joint efforts with ADECA's Office of Minority Business Enterprise.

**Trade Division:** Responsible for helping Alabama companies establish a working relationship with export and other professionals. Activities include special programs, trade missions, trade shows, and catalog missions.

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
Projects worked	336
Trade partners linked	617
Small business referrals to Small Business Development Centers of Alabama	5
Major film projects worked	47

## DISTRICT ATTORNEYS

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	\$40,128,724	100.00%
<b>TOTAL STATE FUNDS</b>	\$40,128,724	100.00%
<b>FEDERAL &amp; LOCAL</b>	0	0.00%
<b>TOTAL APPROPRIATION*</b>	\$40,128,724	100.00%

\*District Attorneys also receive county general fund money and other local funds that are not included in the General Appropriations Act.

District Attorneys are elected for six-year terms in each of the 41 judicial circuits (and the Bessemer Division of the 10th Circuit).

Section 12-17-182 of the Code of Alabama provides that district attorneys shall be paid by the state \$1,000 less than the salary paid by the state to circuit judges. The Investment In Justice Act of 1999 (Act 99-427), which provided for additional state compensation for judges for bench experience, also provided that all district attorneys be paid a state salary equal to \$1,000 less than the salary paid by the state to a circuit judge with the maximum amount of state compensation. District attorneys are paid a state salary of \$148,936.

Supernumerary district attorneys are paid a state salary of \$111,952 (\$500 less than 75% of the state salary of a circuit judge with maximum state compensation).

District attorneys do not make contributions from their salary to a retirement (or equivalent) fund. A supernumerary district attorney's salary and health insurance are paid like that of a district attorney – that is from the State General Fund appropriation to the district attorneys. The state does not pay retirement or FICA on supernumerary salaries. There are 42 district attorneys and 46 supernumerary district attorneys.

**ECONOMIC AND COMMUNITY AFFAIRS**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	18,287,300	4.84%
<b>TOTAL STATE FUNDS</b>	18,287,300	4.84%
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds - Est	102,472,624	27.11%
Other Federal & Local Funds	220,560,844	58.34%
<b>TOTAL FEDERAL AND LOCAL</b>	323,033,468	85.45%
<b>OTHER**</b>	36,730,103	9.72%
<b>TOTAL APPROPRIATION</b>	378,050,871	100.00%

\*\*Includes interest from investments, administrative fees from surplus property, sales receipts from data processing and graphic arts services and internal transfers of federal funds from the various programs for administration.

The Alabama Department of Economic and Community Affairs (ADECA) was established in 1983 to administer federal and state programs to help communities attract industry, create jobs, provide resources to underprivileged citizens, and aid law enforcement efforts. ADECA also plans and administers the state's energy, technology, and water resources programs and programs of the Appalachian Regional Commission and the Delta Regional Authority within the state. The Department operates through the following divisions:

**Office of the Director:** Comprised of the Director, ADECA's Administrative Division and the Appalachian Regional Commission. It is responsible for administering infrastructure grant programs, economic development programs, planning programs, and programs that assist the state's low income populations. Programs include the Community Development Block Grant from the U.S. Department of Housing and Urban Development and the Community Services Block Grant Program from the U.S. Department of Health and Human Services. In addition, the Office oversees the audit and review of ADECA grant recipients, recreation programs and resources for economic assistance.

**ADECA Continued:**

**Communication and Information Division (CID):** Provides public information and public relations service on behalf of the director of ADECA. Produces publications and speeches for the director and oversees special projects.

**Energy Division:** Provides assistance and services through the management and development of energy programs, the advancement of telecommunications and fosters the advancement of technology to strengthen Alabama's economy.

**Financial Services Division:** Performs the functions of accounting, budgeting, payroll, purchasing, and property management.

**Law Enforcement and Traffic Safety (LETS) Division:** Administers federal funding for victims' services, law enforcement, juvenile justice and highway traffic safety programs.

**Office of Water Resources (OWR):** Administers programs for river basin management, river assessment, water supply assistance, water conservation, flood mapping, the National Flood Insurance Program, and water resources development.

**Office of Workforce Development:** The division is the administrative entity for the Alabama Service Delivery Area (ASDA) that represents all counties except Jefferson and Mobile Counties. The division through the ASDA and the Jefferson and Mobile County service delivery areas provide management and funding of WIA programs. The Workforce Investment Act (1998) replaced the Job Training Partnership Act (JTPA) and related federal programs in the year 2000.

**Surplus Property Division:** Distributes and sells state agency excess property and surplus federal property.

**PERFORMANCE INDICATORS**

**FY 2007-2008**

**Energy Management**

Workshops, energy audits, technical assistance and outreach events	360
Grants administered	117
Homes inspected	154

**Financial Services/Communications and Information**

Warrants Issued	8,870
Number of press releases	2,608
Number of service requests	1,229
Number of clients served	8,766

**Law Enforcement**

Administrative management of highway traffic safety grants awarded to subgrantees	48
---	----

**ADECA Continued:**

**PERFORMANCE INDICATORS**

**FY 2007-2008**

**Office of the Director**

CDBG grants funded	74
CDBG grants administered	227
Recreational sits monitored	250
Appalachian Regional Commission (ARC) projects awarded	40

**Office of Workforce Development**

Training grants administered	241
------------------------------	-----

**Surplus Property**

Number of eligible entities served	542
------------------------------------	-----

**Water Resources**

Number of Certificate of Use holders	1,034
Number of FEMA Mapping Projects	46
Number of community assistance visits in support of the National Flood Insurance Program	10

**EDUCATION – DEPARTMENT OF**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
Education Trust Fund	210,241,737	12.87%
Education Trust Fund-Transfer	1,885,190	0.12%
Driver Education & Training Fund	4,530,898	0.28%
Special Education Catastrophic Fund	2,500,000	0.15%
<b>TOTAL STATE FUNDS</b>	<b>219,157,825</b>	<b>13.41%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds	203,642,885	12.46%
Other Federal and Local Funds	1,211,048,174	74.12%
<b>TOTAL FEDERAL &amp; LOCAL</b>	<b>1,414,691,059</b>	<b>86.59%</b>
<b>TOTAL APPROPRIATION</b>	<b>1,633,848,884</b>	<b>100.00%</b>

The State Department of Education executes educational policies for the schools of the state as authorized by law and determined by the State Board of Education and allocates funds to local education agencies based on state law, school board regulations, and federal laws. Technical assistance and other services that are not instructional (i.e., training and research) are also provided to the local systems. In addition, the Department is responsible for administering the accountability program passed by the Legislature in 1995, including financial and academic intervention at the local level. The Department is also responsible for administering the disability determination program under an agreement with the Social Security Administration and the U.S. Department of Health and Human Services. The following conditional appropriations are included in the FY 2010 ETF appropriations act:

1	Teacher recruitment incentives	2,716,500
2	Reading Initiative	5,000,000
3	AMSTI	11,830,455
4	Distance Learning	5,361,024
5	Teacher Mentoring	1,442,500
6	Advanced Placement	<u>1,240,000</u>
		<b>\$27,590,479</b>

See EDUCATION K-12 FOUNDATION PROGRAM/LOCAL BOARDS for additional information about K-12 education.

**EDUCATION – K-12 FOUNDATION PROGRAM/LOCAL BOARDS**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
Education Trust Fund	3,657,784,748	79.85%
Public School Fund (est.)	195,532,864	4.27%
<b>TOTAL STATE FUNDS</b>	<b>3,853,317,612</b>	<b>84.12%</b>
<b>FEDERAL AND LOCAL</b>		
Federal Stimulus Funds	179,434,391	3.92%
Other Federal and Local Funds	548,024,304	11.96%
<b>TOTAL FEDERAL AND LOCAL</b>	<b>727,458,695</b>	<b>15.88%</b>
<b>TOTAL APPROPRIATION</b>	<b>4,580,776,307</b>	<b>100.00%</b>

Foundation Program  
(including \$5,735,468 for current units) \$3,799,503,999

Salary Matrix Adjustment 0

Transportation Program 299,895,832

At-Risk Student Program 24,504,145

Board of Adjustment 478,380

Endowment Interest Program/Public School Fund 532,864

Capital Outlay Program/Public School Fund 195,000,000

School Nurses Program 33,777,343

Capital Outlay Program/Federal and Local Funds 43,644,984

Information Technology Coordinator 4,004,369

Fiscal Stabilization Funds 179,434,391

**Total Appropriation \$4,580,776,307**

The following conditional appropriations were also included in the FY 2010  
ETF appropriations act:

1	Transportation	\$13,000,000
2	Other Current Expense	<u>20,000,000</u>
		<b>\$33,000,000</b>

**Education-Foundation Program/Local Boards Continued:**

For the Salary Matrix and the estimated cost of a teacher unit for FY 2009-2010 see page 7.

**Fringe Benefit Rates**

Teachers' Retirement System	12.51%
FICA (Social Security)	7.65%
PEEHIP	\$752 per month

<b>Funding Divisors</b>	Grades K - 3	:	13.8
	Grades 4 - 6	:	21.4
	Grades 7 - 8	:	20.0
	Grades 9 - 12	:	18.0

**Classroom Instructional Support Factors (2009-10)**

Student materials	\$0.00	Per teacher unit
Textbooks	\$17.17	Per pupil
Technology	\$0.00	Per teacher unit
Professional development	\$0.00	Per teacher unit
Library enhancement	\$0.00	Per teacher unit
Common purchase	\$0.00	Per teacher unit
Other current expense	\$12,302.00	Per teacher unit
Mills of District Ad Valorem Tax equivalents required		10.00

Statewide Value of One Mill (as used in the FY 2010 Foundation Program)	\$50,437,932
--	--------------

Total Number of Teacher and Instructional Support Units Appropriated	48,520.76
---	-----------

**Local Boards of Education**

Number of local school boards	132
Average daily membership K-12 Fall of 2008-09 school year	743,264.95
Number of classroom teachers Fall of 2008-09 school year (all sources of funds)*	48,766
Pupil-Teacher ratio	15.24
Total certificated personnel Fall of 2008-09 school year (all sources of funds)*	58,161
Pupil-certificated staff ratio	12.78
Total support personnel Fall of 2008-09 school year*	38,246

\*Data provided by SDE LEAPS Report

## EDUCATIONAL TELEVISION COMMISSION

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
Education Trust Fund	8,058,331	95.00%
<b>TOTAL STATE FUNDS</b>	8,058,331	95.00%
<b>FEDERAL &amp; LOCAL</b>	424,595	5.00%
<b>TOTAL APPROPRIATION</b>	8,482,926	100.00%

Included within the FY 2010 ETF appropriation was \$600,000, which is earmarked as the first of two appropriations designed to replace the APT Microwave System and create a Public Safety Radio Communications Statewide Network.

The Alabama Educational Television Commission was organized to make the benefits of educational television available to the citizens of Alabama. The Commission was established in 1953. The Commission controls and supervises the use of television channels assigned for non-commercial, educational use and the programming released over such channels. The Commission is also authorized to own and operate public radio stations within the state.

The Commission is the Federal Communications Commission licensee for nine public television stations and one public radio station. The Commission has total responsibility for the statewide Alabama Public Television Network and for WLRH-FM in Huntsville. The Commission surveys, studies, and appraises the need for an overall plan to make educational television facilities and services available to the state. In addition, the Commission provides financial support for educational telecourses and other public radio stations in Alabama.

The Commission, as mandated by the Federal Communications Commission, has converted the nine public television stations from analog to digital signals. The Commission currently operates 9 digital transmitters, which broadcast 3 programming channels simultaneously: the regular APT programming, the APT Create channel, and the APT-IQ channel.

## ENVIRONMENTAL MANAGEMENT

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	7,132,080	3.77%
Other Funds	81,676,067	43.24%
<b>TOTAL STATE FUNDS</b>	<b>88,808,147</b>	<b>47.01%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds	49,746,500	26.34%
Other Federal & Local Funds	50,334,990	26.65%
<b>TOTAL FEDERAL AND LOCAL</b>	<b>100,081,490</b>	<b>52.99%</b>
<b>TOTAL APPROPRIATION</b>	<b>188,889,637</b>	<b>100.00%</b>

The Department of Environmental Management regulates pollutants discharged into the air, on land, and into the water and administers grant programs designed to help municipalities and industries in the management of a healthy environment.

The Department administers the Alabama Land Recycling and Economic Redevelopment Act (Act 2001-635) providing for the voluntary assessment and/or cleanup of potentially contaminated property. This is a fee driven program in which the Department is responsible for reviewing all cleanup plans and activities to ensure they meet or exceed the requirements of environmental regulations and guidelines.

The Department oversees the Clean Water SRF, providing low interest loans to publicly owned water treatment works in order to help meet the goals of the Clean Water Act. The federal government, through the EPA, provides annual grant money which states are required to match at an 80:20 ratio in order to receive the full allotment of federal funds for the program. Since the inception of the Clean Water SRF in 1987 (loans first funded in 1989), 195 loans totaling over \$906.2 million have been funded.

## **Environmental Management Continued:**

In addition, the Department oversees the Drinking Water SRF, providing low interest loans to improve and/or upgrade publicly owned drinking water systems in order to help meet the goals of the Safe Drinking Water Act. The program is funded in the same manner as the Clean Water SRF. Since the inception of the Drinking Water SRF in 1997 (loans first funded in 1998), 107 loans totaling over \$298.1 million have been funded.

The Department also administers the state's underground and aboveground storage tank regulatory program funded by tank and regulatory fees and tank charges imposed upon tank owners/operators. The program works with responsible parties and ensures that storage tank releases are properly cleaned up by administering the funds in the Alabama Underground and Aboveground Storage Tank Trust Fund which serves as the financial responsibility mechanism for underground storage tank owners and operators.

The Department administers and enforces the Alabama Scrap Tire Environmental Quality Act (Act 2003-332). The law uniformly regulates scrap tire accumulations statewide from the point of generation to the point of disposal through a registration program for scrap tire receivers and a permitting system for scrap tire processors and transporters. Additionally, the Department develops a ranking system used for prioritized abatement and remediation actions for the estimated 800 illegal scrap tire dumps. Such remedial activities are funded by a \$1 fee collected at the point of sale from consumers purchasing replacement tires.

**FORESTRY COMMISSION**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	14,330,459	43.40%
Forestry Funds	8,377,860	25.38%
<b>TOTAL STATE FUNDS</b>	<b>22,708,319</b>	<b>68.78%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>10,305,676</b>	<b>31.22%</b>
<b>TOTAL APPROPRIATION</b>	<b>33,013,995</b>	<b>100.00%</b>

The Forestry Commission coordinates and administers the enhancement and protection of Alabama's forests through landowner assistance programs, urban forestry, reforestation, forestry assistance programs, and by assisting in training and acquiring equipment for volunteer fire departments in the state.

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
State-owned forests managed	15,000 acres
Number of wildfires suppressed	2,449
Acres of prescribed burns (AFC)	39,400

**Statewide Forest Management Accomplishments:**

<b>Program</b>	<b>Landowners Assisted</b>	<b>Impact</b>
Tree planting	287	13,311 acres
Stand management	2,223	152,085 acres
Timber stand improvements	528	11,624 acres
Firebreak plowing	550	859 miles
Stewardship forest plans	340	43,612 acres
New stewardship forests certified	81	24,379 acres

HIGHER EDUCATION, ALABAMA COMMISSION ON

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
Education Trust Fund		
Planning and Coordination	2,934,901	12.70%
Student Financial Aid	6,555,416	28.37%
Support of Other Educational Activities	2,890,222	12.51%
Alabama Agricultural Land Grant Alliance	6,015,470	26.04%
<b>TOTAL STATE FUNDS</b>	<b>18,396,009</b>	<b>79.62%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>4,707,755</b>	<b>20.38%</b>
<b>TOTAL APPROPRIATION</b>	<b>24,264,401</b>	<b>100.00%</b>

The Alabama Commission on Higher Education serves in an advisory capacity to the Legislature and the Governor in respect to postsecondary education matters and administers student financial aid programs. The Commission's activities are divided into five appropriation units as follows:

A. Planning and Coordination Services Program  
(FY 10 - \$2,934,901 ETF)

1. The development of Unified Budget Recommendations.
2. The review of all new and existing programs in instruction, research, and public service, and the enforcement of viability standards for programs.
3. The development and maintenance of the State College, University, and Faculty Information System.
4. The coordination of statewide higher education special studies and long range planning projects.
5. The review of new facilities and new construction projects.

## Higher Education Continued:

### B. Student Financial Assistance Program

(FY 10 - \$6,155,416 ETF; \$9,553,059 total)

1. Alabama National Guard Educational Assistance Program - provides tuition assistance to guardsmen to pursue undergraduate and, to a limited extent, graduate degrees. (FY 08 – 933 awards, \$630 average award, total \$587,457) (FY 10 - \$650,480 ETF).
2. Alabama Student Assistance Program - state supported grant program designed to assist financially needy students. (FY 08 – 13,700 awards, \$904 average award, total \$12,387,878) (FY 10 - \$3,131,735 ETF).
3. Alabama Student Grant Program - provides assistance for residents enrolled in independent, non-profit Alabama Colleges and Universities. (FY 08 – 6,957 awards, \$485 average award, total \$3,374,488) (FY 10 - \$2,509,163 ETF).
4. Police Officers/Firefighters Survivor's Education Assistance Program - provides assistance for undergraduate study for dependents and spouses of police officers and firefighters killed, or totally disabled, in the line of duty. (FY 08 – 17 awards, \$5,425 average award, total \$92,227) (FY 10 - \$172,238 ETF).
5. Chiropractic Scholarships – provides scholarship assistance to financially needy Alabama residents who are enrolled in accredited chiropractic colleges. (FY 08 – 5 awards, \$6,000 average award, total \$30,000) (FY 09 - \$31,800 ETF).
6. Washington Center Internship Program – provides selected college students the chance to live and work in Washington D.C. for academic credit. (First such appropriation) (FY 10 - \$60,000 ETF).

### C. Support of Other Educational Activities Program

(FY 09 - \$2,890,222 ETF; \$4,525,334 total)

1. Southern Regional Education Board - includes funding for Minority Doctoral Scholars Program, Academic Common Market and various other scholarships (FY 10 - \$725,539 ETF).
2. Statewide Computer-Based Articulation System – provides for the development and operation of a statewide general studies curriculum articulation system (FY 10 - \$399,542 ETF).

**Higher Education Continued:**

3. Experimental Program to Stimulate Competitive Research (EPSCOR) - merit based programs sponsored by the state and various other entities to enhance the science and engineering research, education and technology capabilities of Alabama (FY 10 - \$1,327,074 ETF).
  4. Network of Alabama Academic Libraries (NAAL) - coordinates the sharing of Library resources supporting graduate education and research (FY 10 - \$349,735 ETF).
  5. School and University Partnership for Education Renewal Program (SUPER) – provides graduate-level professional development to grades 3-12 teachers (public and private), school librarians and administrators (FY 10 - \$88,332).
- D. Alabama Agricultural Land Grant Alliance Program  
(FY 10 - \$6,015,470 ETF; \$6,090,470 total)

## HIGHER EDUCATION SENIOR INSTITUTIONS

FY 2009-10 APPROPRIATION	TOTAL	% OF TOTAL
<b>STATE FUNDS</b>		
Education Trust Fund	1,107,636,938	21.03%
<b>TOTAL STATE FUNDS</b>	1,107,636,938	21.03%
<b>FEDERAL AND LOCAL</b>		
Federal Stimulus Funds	101,008,041	1.92%
Other Federal and Local Funds (includes tuition and fees)	4,057,623,739	77.05%
<b>TOTAL FEDERAL &amp; LOCAL</b>	4,158,631,780	78.97%
<b>TOTAL APPROPRIATION</b>	5,266,268,718	100.00%

### FY 2009-10 Appropriations by Institution

<u>Senior Institution</u>	<u>ETF</u>	<u>Federal &amp; Local</u>	<u>Total</u>
Alabama A&M University			
O&M	34,054,795	48,771,648	82,826,443
Other	5,593,781	40,998,429	46,592,210
Fiscal Stabilization Funds		3,360,117	3,360,117
Total	39,648,576	93,130,194	132,778,770
Alabama A&M / Miles College			
Total	400,367		400,367
Alabama State University			
O&M	41,021,961	53,745,127	94,767,088
Other	2,268,336	44,497,735	46,766,071
Fiscal Stabilization Funds		3,259,875	3,259,875
Total	43,290,297	101,502,737	144,793,034
University of Alabama System			
UAB O&M	152,686,306	275,650,200	428,336,506
UAB Fiscal Stabilization Funds		14,699,372	14,699,372
UAB O&M	273,675,867	1,441,312,784	1,714,988,651
UAB Fiscal Stabilization Funds		24,911,521	24,911,521
UAH O&M	45,494,061	61,163,326	106,657,387
UAH Fiscal Stabilization Funds		4,184,044	4,184,044
Special Mental Health-UAB	3,797,132		3,797,132
UAB Cancer Center	4,516,609		4,516,609
Other	3,476,254	625,904,360	629,380,614
Total	483,646,229	2,447,825,607	2,931,471,836

Senior Institutions Continued:

FY 2009-10 Appropriations by Institution

<u>Senior Institution</u>	<u>ETF</u>	<u>Federal &amp; Local</u>	<u>Total</u>
Auburn University System			
AU O&M	168,401,170	328,317,143	496,718,313
AU Fiscal Stabilization Funds		16,902,753	16,902,753
AAES O&M	32,398,080	5,466,860	37,864,940
AAES Fiscal Stabilization Funds		3,251,045	3,251,045
ACES O&M	34,373,691		34,373,691
ACES Fiscal Stabilization Funds		3,625,026	3,625,026
AUM O&M	23,927,667	28,562,211	52,489,878
AUM Fiscal Stabilization Funds		2,104,584	2,104,584
Other	1,642,583	238,707,681	240,350,534
Total	260,743,461	626,937,303	887,680,764
Athens State University			
O&M	12,045,576	12,889,048	24,934,624
Other		17,950,778	17,950,778
Fiscal Stabilization Funds		964,566	964,566
Total	12,045,576	31,804,392	43,849,968
Jacksonville State University			
O&M	37,543,100	54,452,488	91,995,588
Other	2,266,647	6,395,204	8,661,851
Fiscal Stabilization Funds		3,343,660	3,343,660
Total	39,809,747	64,191,352	104,001,099
University of Montevallo			
O&M	19,235,561	21,895,195	41,130,756
Other		13,489,924	13,489,924
Fiscal Stabilization Funds		1,615,160	1,615,160
Total	19,235,561	37,000,279	56,235,840
University of North Alabama			
O&M	27,140,713	38,193,313	65,334,026
Other		13,203,153	13,203,153
Fiscal Stabilization Funds		2,214,246	2,214,246
Total	27,140,713	53,610,712	80,751,425
University of South Alabama			
O&M	108,132,145	417,297,200	525,429,345
Other		59,167,797	59,167,797
Fiscal Stabilization Funds		10,768,819	10,768,819
Total	108,132,145	487,233,816	595,365,961

Senior Institutions Continued:

FY 2009-10 Appropriations by Institution

<u>Senior Institution</u>	<u>ETF</u>	<u>Federal &amp; Local</u>	<u>Total</u>
Troy University			
O&M	48,509,673	170,908,710	219,418,383
Other	232,498	23,304,881	23,537,379
Fiscal Stabilization Funds		4,599,282	4,599,282
Total	48,742,171	198,812,873	247,555,044
University of West Alabama			
O&M	12,682,029	12,160,234	24,842,263
Other	1,391,495	3,218,310	4,609,805
Fiscal Stabilization Funds		1,203,971	1,203,971
Total	14,073,524	16,582,515	30,656,039
Knight v. Alabama			
Total	10,728,571		10,728,571
Grand Total	1,107,636,938	4,158,631,780	5,266,268,718

Federal & Local funds include Tuition and Fees.

Other appropriations include line items other than Operations and Maintenance and Auxiliary Enterprises and Restricted Funds.

HIGHER EDUCATION TWO-YEAR COLLEGE SYSTEM

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
Education Trust Fund		
Operations and Maintenance	298,697,326	34.46%
Prison Education	9,083,633	1.05%
Postsecondary Education		
Department	5,601,143	0.65%
Adult Education Program	13,519,815	1.56%
Special Populations Training	4,873,141	0.56%
Mine Safety Training Program	264,997	0.03%
Truck Driving Training	276,664	0.03%
Workforce Development	6,636,146	0.77%
Marion Military Institute	6,547,999	0.76%
Alabama Technology Network	5,254,188	0.61%
<b>TOTAL STATE FUNDS</b>	<b>350,755,052</b>	<b>40.47%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stabilization Funds	17,735,504	2.05%
Other Federal & Local (includes tuition and fees)	498,263,415	57.49%
<b>TOTAL FEDERAL &amp; LOCAL</b>	<b>515,998,919</b>	<b>59.53%</b>
<b>TOTAL APPROPRIATION</b>	<b>866,753,971</b>	<b>100.00%</b>

Higher Education-Two-Year College System Continued:

Institutions	Estimated FY 2009-10 O&M Allocation: ETF	Estimated FY 2009-10 O&M Allocation: Fiscal Stabilization Funds	Estimated FY 2009- 10 Prison Ed Allocation	Total FY 2009-10 Allocation
Alabama Southern	7,326,519	429,484		7,756,003
Bevill	17,979,368	1,053,943		19,033,311
Bishop	16,130,167	945,690		17,075,857
Calhoun	21,337,082	1,250,371	808,385	23,395,838
Central Alabama	8,920,127	522,931		9,443,058
Chattahoochee	5,751,824	337,123		6,088,947
Jefferson Davis	5,431,626	318,232	1,047,529	6,797,387
Drake	4,048,935	237,357		4,286,292
Enterprise-Ozark	9,289,408	544,276		9,833,684
Faulkner	10,529,099	617,453		11,146,552
Gadsden	25,122,258	1,453,956	656,635	27,232,849
Ingram	3,494,405	204,336	3,317,543	7,016,284
Jefferson State	22,322,775	1,253,814		23,576,589
L.B.Wallace	7,753,465	454,385		8,207,850
Lawson	15,777,506	924,847	551,694	17,254,047
Northeast	6,662,698	390,446		7,053,144
Northwest-Shoals	12,195,086	714,607		12,909,693
Reid	4,616,146	270,564		4,886,710
Shelton	20,668,368	1,132,448		21,800,816
Snead	6,244,937	366,112		6,611,049
Southern Union	14,889,467	873,180		15,762,647
Trenholm	10,688,602	626,775		11,315,377
Wallace-Dothan	15,995,685	937,482	689,143	17,622,310
Wallace-Hanceville	16,605,533	973,366		17,578,899
Wallace-Selma	8,916,240	523,015		9,439,255
<b>SUBTOTAL</b>	<b>298,697,326</b>	<b>17,356,193</b>	<b>7,070,929</b>	<b>323,124,448</b>
Marion Military Inst.	6,547,999	379,311		6,927,310
AL Tech. Network	5,254,188			5,254,188
<b>TOTAL</b>	<b>310,499,513</b>	<b>17,735,504</b>	<b>7,070,929</b>	<b>335,305,946</b>

## HISTORICAL COMMISSION

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	4,246,671	38.77%
Other Departmental Receipts	5,492,812	50.14%
<b>TOTAL STATE FUNDS</b>	<b>9,739,483</b>	<b>88.91%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>1,215,000</b>	<b>11.09%</b>
<b>TOTAL APPROPRIATION</b>	<b>10,954,483</b>	<b>100.00%</b>

The Historical Commission surveys and maintains inventory of all sites and structures over 50 years old, nominates to the National Register the most important sites and structures while including significant sites on the Alabama Register, maintains environmental review and compliance program where federal funds are involved, reviews and certifies tax act projects, processes grants, promotes historic preservation, manages Main Street Downtown Revitalization programs and maintains historic properties owned by the Commission.

Visitors to properties maintained by the Historical Commission during FY 2007-2008:

Gaineswood	2,019
Fendall Hall	5,041
Confederate Park	12,492
Magnolia Grove	1,553
Fort Toulouse/Jackson	82,544
Fort Morgan	77,451
Belle Mont	1,626
Cahawba	27,131
State Capitol	107,426
Pond Spring (only grounds and out buildings open to groups by appointment)	56

## HUMAN RESOURCES

FY 2009-10 APPROPRIATION	TOTAL	% OF TOTAL
<b>STATE FUNDS</b>		
General Fund	108,774,042	7.61%
Education Trust Fund	14,003,206	0.98%
Other	132,495,117	9.27%
<b>TOTAL STATE FUNDS</b>	<b>255,272,365</b>	<b>17.87%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds	34,636,323	2.42%
Federal & Local	1,138,611,544	79.71%
<b>TOTAL FEDERAL AND LOCAL</b>	<b>1,173,247,867</b>	<b>82.13%</b>
<b>TOTAL APPROPRIATION</b>	<b>1,428,520,232</b>	<b>100.00%</b>

The Department of Human Resources (DHR) was created in 1935 as the principal social service agency in the state.

### Selected Department Statistics FY 2007-2008

Temporary Assistance to Needy Families - (TANF)	
families served (monthly average)	17,959
Adoption services – children placed	412
Child care for children – number of slots	32,291
Food assistance – households - (monthly average)	231,875
Value of food stamps - (monthly average per household)	\$238.23

### Average Monthly Payments (as of September 2008)

TANF per case	\$186.16
Child care – payment per child	\$254.53
Foster Care Board – payment per child	\$416.28

## INDUSTRIAL RELATIONS

FY 2009-10 APPROPRIATION	TOTAL	% OF TOTAL
<b>STATE FUNDS</b>		
General Fund	2,435,483	2.27%
Professional Employer Organization Registration Fund	89,095	0.08%
<b>TOTAL STATE FUNDS</b>	<b>2,524,578</b>	<b>2.36%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds, Est	16,234,887	15.15%
Other Federal & Local Funds	88,429,812	82.50%
<b>TOTAL FEDERAL &amp; LOCAL</b>	<b>104,664,699</b>	<b>97.64%</b>
<b>TOTAL APPROPRIATION</b>	<b>107,189,277</b>	<b>100.00%</b>

The Department of Industrial Relations is responsible for administering Employment Service, Unemployment Compensation and Worker's Compensation, Small Business Assistance, Mine Safety, Surface Mine and Reclamation. Under the Wagner-Peyser Act, the Employment Service Division provides statewide public labor exchange activities for people seeking jobs and individuals who are eligible to receive unemployment compensation. Industrial Relations also oversees the reclamation of land and water resources adversely affected by past coal mining and enforces safety requirements of state mining laws.

PERFORMANCE INDICATORS	FY 2007-2008
<b>Unemployment Compensation</b>	
Initial claims	285,075
Maximum weekly benefit amount payable	\$265**
Minimum weekly benefit amount payable	\$45
Gross benefits paid	\$282,252,738
<b>Unemployment Tax Contributions</b>	
Number of employers	89,106
Balance of U.C. Trust Fund (as of 12/31/08)	\$391,061,121
<b>Employment Service</b>	
Number of individuals served	420,140
Number of job openings received	104,077
<b>Workers' Compensation Program</b>	
Total compensation payments recorded	\$711,168,622
Maximum weekly compensation payable	\$729***
Minimum weekly compensation payable	\$200***
<b>Mining and Reclamation</b>	
Number of coal and non-coal mines inspected	2,603
Number of mining sites reclaimed	36

\*\*Effective July 5, 2009

\*\*\*Denotes actual number for calendar year 2008

## INSURANCE DEPARTMENT

FY 2009-10 APPROPRIATION	TOTAL	% OF TOTAL
<b>STATE FUNDS</b>		
Fire Marshal's Fund	832,996	3.87%
Examination Revolving Fund	7,738,709	35.99%
Insurance Department Fund	11,879,307	55.24%
Service Contract Fund	53,576	0.25%
Insurance Department Fund – Transfer to State General Fund	1,000,000	4.65%
<b>TOTAL APPROPRIATION</b>	<b>21,504,588</b>	<b>100.00%</b>

The Insurance Department is the regulatory agency for insurers operating in Alabama. Responsibilities include examination and licensing of agents and collection of the insurance premium tax.

During FY 2007-2008 receipts from the insurance premium tax were distributed as follows: \$255,973,817 to the State General Fund; \$30,993,296 to the Education Trust Fund; and \$4,525,338 to the Mental Health Trust Fund.

- Domestic and foreign property/casualty insurers pay premium taxes at the rate of 3.6% of premiums from business done in the state except: (1) property and multi-peril insurance written in fire protection classes 9 and 10 and mobile homes, homeowners and low value dwelling policies with a face value of \$40,000 or less are taxed at a rate of 1%; and (2) medical liability insurers are taxed at a rate of 1.6%.
- Domestic and foreign life insurers pay premium taxes at a rate of 2.3% except: (1) individual life insurance policies with a face value greater than \$5,000 and up to and including \$25,000 are taxed at 1%; (2) individual life insurance policies with a face value of \$5,000 or less are taxed at 0.5%; and (3) annuity considerations are not taxed.
- Domestic and foreign health and accident and health insurers pay premium taxes at a rate of 1.6% except: (1) premiums for hospital, medical, surgical or other health care benefits provided for employer sponsored groups with less than 50 participants are taxed at 0.5%; and (2) premiums for insurance benefits supplementary to Medicare and Medicaid are not taxed.
- Surplus line brokers pay premium taxes at a rate of 6% on direct premiums, less return premiums and sums collected to cover state or federal taxes, on surplus line insurance transacted during the preceding calendar year, computed only on the proportion of premiums allocable to risk or exposure located in Alabama.

**Insurance Department Continued:**

- Pursuant to Act 2006-509, the Department now regulates captive insurance companies, whose premiums are taxed, depending upon the amount of premiums written, at a rate ranging from .025% to .4%, with a minimum annual tax of \$5,000. Pursuant to Act 2008-391, captive insurance companies may provide homeowner's insurance coverage in the gulf front, beach and seacoast areas as designated by the Insurance Services Office, Inc.
- Insurers may qualify for insurance premium tax credits if they make certain types of expenditures such as: (1) contribution of certified capital to an ADO-certified CAPCO; (2) assessments paid as part of the Alabama Health Insurance Program (AHIP) or as part of an insurance guaranty fund; or (3) payment of ad valorem, privilege or county taxes or insurance department examination expenses.

<b>Top Property &amp; Casualty Insurers in Alabama</b>				
<b>Insurer</b>	<b>Premiums Written</b>	<b>Market Share</b>	<b>Premium Taxes Paid</b>	<b>Effective Premium Tax Rate</b>
Alfa Mutual Ins. Co.	\$650,169,000	10.29%	\$8,926,115	1.37%
State Farm Mutual Automobile Ins. Co.	\$532,773,000	8.43%	\$12,485,809	2.34%
State Farm Fire & Casualty Ins. Co.	\$445,754,000	7.16%	\$12,023,864	2.70%
Allstate Ins. Co.	\$180,687,000	2.86%	\$5,764,248	3.19%
Progressive Specialty Ins. Co.	\$147,523,000	2.34%	\$3,673,406	2.49%
Auto-Owners Ins. Co.	\$108,135,000	1.71%	\$3,317,357	3.07%
Allstate Property & Casualty Ins. Co.	\$104,916,000	1.66%	\$3,807,763	3.63%
Allstate Indemnity Co.	\$104,650,000	1.66%	\$3,712,440	3.55%
Cincinnati Ins. Co.	\$102,140,000	1.62%	\$3,536,269	3.46%
United Services Automobile Assn.	\$98,263,000	1.56%	\$2,334,054	2.38%

\*The information above is for the 2008 calendar year.

## MEDICAID

FY 2009-10 APPROPRIATION	TOTAL	% OF TOTAL
<b>STATE FUNDS</b>		
General Fund	307,968,538	6.27%
Departmental Receipts and Intragovernmental Transfers	271,497,393	5.53%
Alabama Health Care Trust	59,000,000	1.20%
Certified Public Expenditures	436,025,973	8.88%
Drug Rebates	47,451,285	0.97%
Medicaid Trust Fund	35,188,399	0.72%
<b>TOTAL STATE FUNDS</b>	<b>1,157,131,588</b>	<b>23.56%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds	446,591,528	9.09%
Other Federal & Local Funds	3,307,091,088	67.34%
<b>TOTAL FEDERAL &amp; LOCAL</b>	<b>3,753,682,616</b>	<b>76.44%</b>
<b>TOTAL APPROPRIATION</b>	<b>4,910,814,204</b>	<b>100.00%</b>

The Alabama Medicaid Agency is the sole state agency having responsibility for administering Title XIX of the Social Security Act. Title XIX provides for certain medical services for low-income persons who are categorically eligible. The Medicaid program is funded with federal funds, which must be matched by state funds at a ratio of 77.53:22.47 in fiscal year 2010. This includes Alabama's Federal Medical Assistance Percentage (FMAP) of 68.01% plus 9.5% increase in FMAP enhancements from enactment of the American Recovery and Reinvestment Act. Medicaid provides no direct services but provides allowable reimbursement for covered services to those providing medical services to Medicaid eligible persons. Persons must fit into one of several categories and meet certain criteria before being determined Medicaid eligible. Eligibles include:

- Persons receiving SSI.
- Persons receiving assistance through certain programs administered by the Department of Human Resources.
- Certain pregnant women and children, including those with incomes below 133% of poverty (commonly referred to as SOBRA), and foster children in the custody of the state.
- Certain residents of medical facilities (nursing homes, hospitals, or state owned mental illness facilities).
- Some low-income Medicare beneficiaries may qualify to have their premiums, deductibles, and buy-ins paid by Medicaid (referred to as Qualified Medicare Beneficiaries or QMB's).

**Medicaid Continued:**

<b>PERFORMANCE INDICATORS</b>	<b>FY 2008 Actual</b>	<b>FY 2009 Estimated</b>
<b>Number of Nursing Home Days</b>		
Nursing home	6,437,501	6,297,605
<b>Number of Claims</b>		
Hospital Care		
Inpatient	178,314	168,531
Outpatient	1,155,051	1,222,447
Pharmacy	7,263,645	7,853,467
Physician	4,757,834	4,643,543
Health Support		
Eye exams	161,654	202,060
Eyeglasses	120,765	139,034
Child Screenings	368,513	418,079

**DEPARTMENT OF MENTAL HEALTH**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	69,110,134	7.47%
Education Trust Fund	29,432,860	3.18%
Special Mental Health Trust Fund	199,391,952	21.56%
Other	6,711,610	0.73%
<b>TOTAL STATE FUNDS</b>	<b>304,646,556</b>	<b>32.94%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds	42,336,042	4.58%
Other Federal & Local Funds	577,824,773	62.48%
<b>TOTAL FEDERAL &amp; LOCAL</b>	<b>620,160,815</b>	<b>67.06%</b>
<b>TOTAL APPROPRIATION</b>	<b>924,807,371</b>	<b>100.00%</b>

The Department of Mental Health and Mental Retardation is the State's designated agency to provide services to those individuals with mental illness, mental retardation, and/or substance abuse disorders. The Department operates psychiatric hospitals and a developmental center. Services in local communities are offered through contracts with over 400 community providers.

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
<b>Mental Illness:</b>	
Number of hospitals	7
Total bed capacity for state operated facilities	1,034
Number of patients served in the community	100,419
Number of community health centers with at least one year certification	26
<b>Intellectual Disabilities:</b>	
Number of people served in a facility	202
Number of people served in the community	6,175
Average daily expenditure per person (community)	\$111.95
Average daily expenditure (facility)	\$492.15
Number served from waiting list	527
Number of providers achieving two-year certification	108
<b>Substance Abuse (SA) Treatment Program:</b>	
Number of people served in the treatment program	28,060
Number of people served in the prevention program	17,475
Average annual cost per person in SA treatment	\$1,329
Average annual cost per person for SA prevention	\$282
Number of counties that offer SA services	45

## MILITARY DEPARTMENT AND ARMORY COMMISSION

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund-Transfer to Armory Commission	1,464,698	1.66%
Other Funds	1,004,000	1.14%
<b>TOTAL STATE FUNDS</b>	<b>2,468,698</b>	<b>2.80%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>85,569,943</b>	<b>97.20%</b>
<b>TOTAL APPROPRIATION</b>	<b>88,038,641</b>	<b>100.00%</b>

The Military Department and Armory Commission provide guidance, policy directives and direction that support preparation of Alabama National Guard Units for duty in both their Federal and state missions.

Mobilized military personnel total (includes members of Alabama-based military units mobilized as of August 1, 2008, per the U.S. Department of Defense):

- 111 Air National Guard/Air Force Reserves

- 2,604 Army National Guard/Army Reserves

- 38 Navy Reserves

Total Mobilized Force = 2,753 (does not include personnel from three mobilized Alabama-based marine corps reserve units as their individual personnel totals are unavailable).

As of July 31, 2009, Alabama had:

- 104 Army National Guard Units

- 5 Air National Guard Units

- At least one National Guard unit in 62 counties

- Total National Guard Troop Force = 13,734

Since September 11, 2001, more than 13,000 Alabama Army and Air Guard personnel have been called to active duty.

## OIL AND GAS BOARD

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	3,640,034	84.39%
Surety Bonds Deposits	20,000	0.46%
OGB Special Fund	653,082	15.15%
<b>TOTAL STATE FUNDS</b>	<b>4,313,116</b>	<b>100.00%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>0</b>	<b>0.00%</b>
<b>TOTAL APPROPRIATION</b>	<b>4,313,116</b>	<b>100.00%</b>

The Oil and Gas Board is responsible for the management and regulation of oil and gas exploration and development including: field monitoring of all petroleum drilling and production activities; compiling, storing and disseminating exploration and production data; preparing petroleum geologic maps; conducting special geologic and engineering studies; water quality monitoring of oil and gas fields; and providing direct assistance to other state agencies and Alabama citizens in oil and gas matters.

<b>Alabama Totals</b>	<b>FY 2007-2008</b>
Barrels of oil and condensate produced	7.53 million
Natural gas production (cubic ft.)	283.36 billion
Oil and Gas Severance Tax collections	\$192,752,000

## PARDONS AND PAROLES

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	37,127,509	78.34%
Probationer's Upkeep Fund	9,965,000	21.03%
<b>TOTAL STATE FUNDS</b>	<b>47,092,509</b>	<b>99.37%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>300,000</b>	<b>0.63%</b>
<b>TOTAL APPROPRIATION</b>	<b>47,392,509</b>	<b>100.00%</b>

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
Parole consideration interviews	7,356
Paroles granted	3,193
Paroles denied	4,163
Parole violation hearings	1,134
Probation cases supervised	55,751
Parole cases supervised	10,670
Probation and parole cases supervised for other states	4,166
Probation and parole investigations completed	78,833
Pardons and/or restoration of civil and political rights granted	578
Streamlined Voting Rights	3,017
Number of field offices in state (including the two transition centers)	64

**PUBLIC HEALTH**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	89,403,367	10.84%
Education Trust Fund	15,765,146	1.91%
Other	43,489,658	5.27%
<b>TOTAL STATE FUNDS</b>	<b>148,658,171</b>	<b>18.03%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds	14,543,370	1.76%
Other Federal & Local Funds	661,513,700	80.21%
<b>TOTAL FEDERAL &amp; LOCAL</b>	<b>676,057,070</b>	<b>81.97%</b>
<b>TOTAL APPROPRIATION</b>	<b>824,715,241</b>	<b>100.00%</b>

The Department of Public Health is responsible for controlling disease, providing medical services and enforcing public health laws.

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
Family planning visits	216,174
Maternity patient visits	6,154
WIC participants (average per month)	134,839
STD visits	80,254
Food service inspections	43,131

**Children's Health Insurance Program (CHIP):**

CHIP uses state funds to access federal dollars in order to provide health insurance to children ages 0 to 19 who are not eligible for Medicaid but whose household income is below 300% of the federal poverty level. Coverage is through ALL KIDS a private insurance product provided through private insurance companies.

<b>PERFORMANCE INDICATORS</b>	<b>Actual FY 2007-2008</b>
CHIP year-end enrollment	70,845

**PUBLIC LIBRARY SERVICE**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
Education Trust Fund	8,138,410	77.72%
<b>TOTAL STATE FUNDS</b>	<b>8,138,410</b>	<b>77.72%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>2,332,750</b>	<b>22.28%</b>
<b>TOTAL APPROPRIATION</b>	<b>10,471,160</b>	<b>100.00%</b>

The Alabama Public Library Service was established to develop a cooperative system of providing books and library services for the various municipalities and counties of the state. In addition, the Service is responsible for receiving and administering federal funds that are provided for libraries; provides job-related library service to state government employees and agencies; and serves as a regional library for the handicapped. In addition, the Library Service is the fiscal agent for the Alabama Virtual Library Project and sponsors the homeworkalabama.org website.

<b>Fiscal Year</b>	<b>Total Appropriation Public Library Service</b>	<b>Amount Earmarked for State Aid to Local Libraries</b>	<b>Per Capita State Aid</b>
04-05	\$9,985,447	\$4,141,608	\$0.923
05-06	10,954,314	4,725,608	1.050
06-07	11,863,834	5,090,608	1.125
07-08	13,316,999	5,450,608	1.185
08-09	11,587,634	5,090,608	1.099
09-10	10,471,160	4,496,645	1.037

## PUBLIC SAFETY

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	76,490,937	44.17%
ABI Fund	200,000	0.12%
AFIS Fund*	5,000,000	2.89%
Highway Traffic Safety Fund	22,500,000	12.99%
Motor Vehicle Replacement Fund	675,000	0.39%
Public Road and Bridge Fund	4,500,000	2.60%
Transfer from Public Road and Bridge Fund	22,000,000	12.71%
<b>TOTAL STATE FUNDS</b>	<b>131,365,937</b>	<b>75.87%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>41,791,366</b>	<b>24.13%</b>
<b>TOTAL APPROPRIATION</b>	<b>173,157,303</b>	<b>100.00%</b>

\*Automated Fingerprint Identification System (AFIS).

The Department of Public Safety consists of the following Divisions: Administrative, Alabama Bureau of Investigation (ABI), Driver License, Highway Patrol, Support Services, and Protective Services.

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
Stolen vehicles recovered	68
Arrest tickets issued	519,486
Warning tickets issued	42,713
Polygraph exams conducted	400
Accidents investigated	31,811
Motorists assisted	19,283
Commercial vehicles inspected	33,275
Investigations conducted	921
Number of persons arrested	10,969
Overweight truck arrests	9,626
Marijuana plants seized or destroyed	36,866

**PUBLIC SERVICE COMMISSION**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
Departmental Receipts	11,000	0.05%
Gas Pipeline Safety Funds	1,105,722	5.06%
Public Service Commission Funds	15,209,265	69.54%
Public Service Commission Fund- Transfer to State General Fund	5,000,000	22.86%
<b>TOTAL STATE FUNDS</b>	<b>21,325,987</b>	<b>97.51%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>545,000</b>	<b>2.49%</b>
<b>TOTAL APPROPRIATION</b>	<b>21,870,987</b>	<b>100.00%</b>

The Public Service Commission (PSC) regulates transportation and utility companies to ensure that services performed, facilities available and rates charged are equitable. The PSC does not regulate municipal and cooperative utilities. The PSC has an Administrative Service Program and a Regulatory Services Program that includes the following divisions: (1) Energy, (2) Telecommunications, (3) Transportation, and (4) Gas Pipeline Safety. The PSC participates in public hearings involving regulated companies and prepares orders for commission action.

**PERFORMANCE INDICATORS** **FY 2007-2008**

Commission orders issued	1,364
Public hearings held	43
Report and recommended orders issued	11

**Inspections:**

Power plants/gas systems	24
Telephone equipment	2,911
Gas pipeline safety	996
Railway track (miles) inspected	1,126
Units of rolling stock inspected	10,426

## RETIREMENT SYSTEMS

### TEACHERS' RETIREMENT SYSTEM

Fiscal Year	Employer Contributions	Employee Contributions	Net Investment Income	Total Receipts
9/30/2005	329,193,699	262,856,154	1,850,676,120	2,442,725,973
9/30/2006	413,975,448	281,455,579	1,587,306,061	2,282,737,088
9/30/2007	519,246,873	305,085,899	3,317,901,823	4,142,234,595
9/30/2008	706,491,066	327,004,024	(3,330,707,332)	(2,297,212,242)

#### As of 9/30/2008

Members	141,528
Retirees - includes DROP participants	72,097

See page 11 for employer contribution rate

### EMPLOYEES' RETIREMENT SYSTEM

Fiscal Year	Employer Contributions	Employee Contributions	Net Investment Income	Total Receipts
9/30/2005	206,876,726	180,813,209	843,664,903	1,231,354,838
9/30/2006	246,192,889	172,928,822	706,820,810	1,125,942,521
9/30/2007	266,966,342	184,139,935	1,600,317,459	2,051,423,736
9/30/2008	312,566,970	191,654,891	(1,570,346,606)	(1,066,124,745)

#### As of 9/30/2008

Members –excludes local employees	34,096
Retirees - includes DROP participants, excludes local retirees	20,387

See page 11 for employer contribution rate

**Retirement System Continued:**

**JUDICIAL RETIREMENT SYSTEM**

<b>Fiscal Year</b>	<b>Employer Contributions</b>	<b>Employee Contributions</b>	<b>Net Investment Income</b>	<b>Total Receipts</b>
9/30/2005	8,402,905	2,399,107	19,291,757	30,093,769
9/30/2006	8,374,279	2,496,734	20,853,353	31,724,366
9/30/2007	8,766,726	2,635,984	34,583,273	45,985,983
9/30/2008	9,340,101	2,703,825	(38,313,579)	(26,269,653)

**As of 9/30/2008**

Members	336
Retirees and beneficiaries	311

See page 11 for employer contribution rate

## REVENUE

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	327,321	0.24%
Revenue Administrative Fund	131,371,060	95.92%
<b>TOTAL STATE FUNDS</b>	<b>131,698,381</b>	<b>96.16%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>5,265,022</b>	<b>3.84%</b>
<b>TOTAL APPROPRIATION</b>	<b>136,963,403</b>	<b>100.00%</b>

The Department of Revenue collected a total of \$8,962,346,085.88 in state taxes for the fiscal year ending September 30, 2008. A portion of this collection is appropriated from the Revenue Administrative Fund for the operation of the Department.

## SECURITIES COMMISSION

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
Industrial Revenue Bond Notification Fund	15,000	0.14%
Sale of Checks Fund	50,000	0.48%
Securities Commission Fund	6,439,229	61.30%
Securities Commission Fund- Transfer to State General Fund	4,000,000	38.08%
<b>TOTAL STATE FUNDS</b>	<b>10,504,229</b>	<b>100.00%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>0</b>	<b>0.00%</b>
<b>TOTAL APPROPRIATION</b>	<b>10,504,229</b>	<b>100.00%</b>

The Securities Commission is responsible for the enforcement of laws governing the registration of broker dealers, broker dealer agents, investment advisors, investment advisor representatives and the issuance, sale and other transactions relative to securities, industrial revenue bonds and the sale of checks and money orders. The Commission is funded from trust funds comprised of filing and application fees.

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
Broker/Dealer agents licensed	116,342
Restricted Agents/Issuer licensed	273
Broker Dealers licensed	1,791
Investment Advisors licensed/notice filed	1,144
Investment Advisor Representatives licensed	4,756
Number of exemptions	8,848
Sale of checks licenses	115
Indictments obtained	27
Arrests made	17
Convictions obtained	12
Securities issued	29
Industrial Development Bond issues (IDB's)	15
Cases under review or investigation	502

**SENIOR SERVICES**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	6,646,133	5.79%
General Fund-Medicaid Waiver	7,114,901	6.20%
General Fund-SenioRx Alabama	2,209,692	1.92%
<b>TOTAL STATE FUNDS</b>	<b>15,970,726</b>	<b>13.91%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus	4,950,101	4.31%
Federal & Local	93,898,189	81.78%
<b>TOTAL FEDERAL &amp; LOCAL</b>	<b>98,848,290</b>	<b>86.09%</b>
<b>TOTAL APPROPRIATION</b>	<b>114,819,016</b>	<b>100.00%</b>

The Department of Senior Services, formerly the Commission on Aging, through grants and contracts with 13 Area Agencies on Aging, administers programs funded as a result of the Older American's Act of 1965. In addition, the Department administers one-half of the state's Medicaid Waiver Program - a home and community-based treatment program as an alternative to institutionalization for the state's older persons who are Medicaid eligible.

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
Older persons served (unduplicated)	83,752
Congregate meals served	2,225,975
Home delivered meals served	2,117,696
Transportation	2,027,259
Legal assistance	17,034
<b>SenioRx</b>	
	<b>FY 2007-2008</b>
Number of persons served	5,379
Prescriptions submitted	42,622
Savings to Alabama seniors	\$17.9 million

**TOURISM DEPARTMENT**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund – Film Commission	135,000	0.68%
General Fund Transfer	1,937,500	9.75%
Broadband Initiative Fund	3,000,000	15.10%
Lodgings Tax	14,790,255	74.46%
<b>TOTAL STATE FUNDS</b>	<b>19,862,755</b>	<b>100.00%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>0</b>	<b>0.00%</b>
<b>TOTAL</b>	<b>19,862,755</b>	<b>100.00%</b>

The Tourism Department is responsible for the promotion of tourism in Alabama. The Department works with the Center for Business and Economic Development at Auburn University at Montgomery to study the economic impact of the tourism industry in the state. In addition, the Department is also responsible for promotion of the State of Alabama to the film and video industry as a site for on-location production for feature films, television, music videos and industrial and corporate training films and commercials. The Department serves as the fiscal agent for the Alabama Broadband Initiative.

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
Employment derived from tourist activity (full-time)	169,651 jobs
Income impact of tourist expenditures	\$3.8 billion
Tourism-generated tax revenue (state)	\$501.4 million
Tourism-generated tax revenue (local)	\$201.1 million
Estimated tourist expenditures	\$9.6 billion

Source: Auburn University Montgomery Center for Business and Economic Development.

## TRANSPORTATION

FY 2009-10 APPROPRIATION	TOTAL	% OF TOTAL
<b>STATE FUNDS</b>		
Public Road and Bridge Fund	472,557,580	32.89%
Public Road and Bridge- Transfer to Public Safety	26,500,000	1.84%
Airport Development Fund	31,320,681	2.18%
<b>TOTAL STATE FUNDS</b>	<b>530,378,261</b>	<b>36.90%</b>
<b>FEDERAL &amp; LOCAL</b>		
Federal Stimulus Funds	176,696,083	12.30%
Other Federal & Local Funds	730,201,051	50.80%
<b>TOTAL FEDERAL &amp; LOCAL FUNDS</b>	<b>906,897,134</b>	<b>63.10%</b>
<b>TOTAL APPROPRIATION</b>	<b>1,437,275,395</b>	<b>100.00%</b>

The State Public Road and Bridge Fund is comprised of Highway User Revenues including auto licenses; gasoline tax (16 cents per gallon); motor fuel tax (19 cents per gallon); truck identification decals; petroleum products inspection fees on motor fuel not subject to the motor fuel tax (2 cents per gallon); motor carrier mileage taxes and fees; outdoor advertising permits; oversize and overweight permits; lubricating oil tax; liquefied petroleum licenses; and interest income from Alabama Highway Authority and Industrial Access Road and Bridge Authority bonds. Within the Department, the Federal Aid Construction Program administers all Federal Aid Highway Funds made available to the state.

The Airport Development Fund is comprised of revenue generated from the Aviation Fuel Tax, a portion of the fees collected from ALDOT's Interstate Motorist Logo Program and Federal Aviation Administration (FAA) grants that have been awarded to various airport authorities.

**Transportation Continued:**

US Department of Transportation: Federal Highway Administration  
 Summary of Estimated Highway Apportionments as Authorized by SAFETEA-LU  
 State of Alabama: FY 2007-FY 2009

Transportation Program	FY 2007	FY 2008	FY 2009	Grand Total
Interstate Maintenance	91,458,839	92,931,910	94,428,700	278,819,449
National Hwy. System	103,697,017	105,367,050	107,063,975	316,128,042
Surface Transportation Program	121,526,173	123,322,562	125,308,411	370,157,146
Bridge Replacement & Rehabilitation	70,905,312	72,047,390	73,207,858	216,160,560
Congestion Mitigation & Air Quality	8,499,317	8,636,175	8,775,237	25,910,729
Appalachian Development	29,235,269	29,235,269	29,235,269	87,705,807
Rec. Trails	1,575,260	1,681,466	1,787,673	5,044,399
Metropolitan Planning	2,839,654	2,884,796	2,930,666	8,655,116
Safety	22,380,381	22,817,304	23,261,263	68,458,948
Rail-Hwy. Crossings	4,412,211	4,409,492	4,409,492	13,231,195
Border Infrastructure	-	-	-	-
Safe Routes To School	1,552,872	1,920,686	2,401,698	5,875,256
High Priority Projects	55,067,440	55,067,440	55,067,440	165,202,320
Equity Bonus	219,475,579	238,263,203	238,249,523	695,988,305
Grand Total	732,625,324	758,584,745	766,127,206	2,257,337,275

SAFETEA-LU is the acronym for The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users. It authorizes the Federal surface transportation programs for highways, highway safety, and transit for the 5-year period 2005-2009 and was enacted on August 10, 2005. It is the successor to the previous highway funding authorization legislation enacted by Congress: The Transportation Equity Act for the 21st Century (TEA-21), which formally expired on September 30, 2003 and was enacted on June 9, 1998.

**UNIFIED JUDICIAL SYSTEM**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	164,555,018	85.55%
Advanced Technology and Data Exchange Fund	5,473,454	2.85%
Capital Improvement Trust Fund Transfer	3,972,750	2.07%
Court Automation Fund	2,883,878	1.50%
Court Referral Officer Fund	4,541,767	2.36%
Juvenile Probation Services Fund	5,541,480	2.88%
<b>TOTAL STATE FUNDS</b>	<b>186,968,347</b>	<b>97.20%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>5,379,023</b>	<b>2.80%</b>
<b>TOTAL APPROPRIATION</b>	<b>192,347,370</b>	<b>100.00%</b>

For FY 2010 the Unified Judicial System includes the following:

Supreme Court	9 Judges
Court of Criminal Appeals	5 Judges
Court of Civil Appeals	5 Judges
Circuit Court	144 Judges
District Court	106 Judges
Probate Court	68 Judges

The Supreme Court, the highest state court in Alabama, has the power to superintend and review any case tried, heard, or appealed in any other court in the state. The Court has exclusive jurisdiction in appeals in civil cases in which the amount in controversy exceeds \$50,000; appeals from decisions of the Alabama Public Service Commission involving utility rates; appeals from disciplinary actions taken by the Alabama State Bar; petitions seeking extraordinary relief; and petitions relating to administrative matters of the Alabama State Bar. The Court may transfer to the Court of Civil Appeals any civil case appealed and within the jurisdiction of the Supreme Court, except certain specified cases.

## **Unified Judicial System Continued:**

The Court of Criminal Appeals has exclusive appellate jurisdiction over all appeals in criminal cases including violations of municipal ordinances, and all post-conviction writs.

The Court of Civil Appeals has original appellate jurisdiction in all civil appeals where the amount in controversy does not exceed \$50,000, all appeals from administrative agencies other than the Alabama Public Service Commission, all appeals in Workers' Compensation cases, and all appeals in domestic relations cases. The Supreme Court may transfer to the Court of Civil Appeals any civil case appealed to the Supreme Court, with certain exceptions.

The Circuit Courts are the State's trial courts of general jurisdiction which exercise both criminal and civil jurisdiction. The 67 counties in Alabama are divided into 41 judicial circuits (plus the 10th Circuit Bessemer Division) which are composed of one to five counties. The Circuit Court has exclusive original jurisdiction in civil cases in which the matter in controversy exceeds \$10,000; exclusive original jurisdiction of all felony prosecutions and/or misdemeanors or ordinance violations which are lesser included offenses within a felony charge (except the district court has concurrent jurisdiction with the Circuit Court to receive pleas of guilty in felony cases not punishable by death); original concurrent jurisdiction with the District Court in juvenile cases, and in all civil matters where the amount in controversy exceeds \$3,000 but does not exceed \$10,000.

The District Courts are the State's trial courts of limited jurisdiction. There is a District Court in each county. Sessions of court are held in each county seat and in each municipality of 1,000 or more population where no municipal court exists. District Courts have original civil jurisdiction, concurrent with the Circuit Court, in civil actions in which the matter in controversy does not exceed \$10,000 and civil actions based on unlawful detainer and in juvenile cases. They have exclusive original jurisdiction over all civil matters wherein the amount in controversy does not exceed \$3,000. Civil actions involving \$3,000 or less are heard by the small claims division of District Court. District Courts have exclusive original jurisdiction over prosecutions of all offenses defined by law or ordinance as misdemeanors, except prosecutions by municipalities having municipal courts. They have original jurisdiction concurrent with the Circuit Court to receive pleas of guilty in prosecution of felonies not punishable by death. They have exclusive original jurisdiction of misdemeanor prosecutions for traffic infractions, except ordinance infractions prosecuted in municipal court and DUI offenses committed by juveniles. They have concurrent jurisdiction with the Circuit Court in juvenile cases.

## Unified Judicial System Continued:

The Investment In Justice Act of 1999 (Act 99-427) set the base state salary for state judges as follows:

### Circuit Judges

FY 2001 - the amount authorized under the state merit system pay plan on the effective date of the act (June 10, 1999) for an Attorney IV, step 14.

FY 2002 - the amount authorized under the state merit system pay plan on the effective date of the act for an Attorney IV, step 17.

FY 2003 and thereafter - \$1,000 more than the maximum paid an Attorney IV on the effective date of the act.

District Judges - \$1,000 less than circuit judges.

Chief Justice - \$3,000 more than the highest total compensation, including salary supplements and expense allowances, of any circuit judge.

Supreme Court Associate Justices - \$1,000 less than the Chief Justice.

Civil/Criminal Appeals Presiding Judges - \$500 less than Associate Justices.

Civil/Criminal Appeals Associate Judges - \$500 less than Presiding Judges.

Act 99-427 also provided additional state compensation based on judges' bench experience, in the amount of 1.25% of the base state salary for each additional year of bench service, up to a maximum of 25%. However, Act 2004-636 froze bench experience compensation by providing that no judges have their salary additionally increased for bench experience after May 26, 2004. Act 2006-119 (SJR 33 - the Report of the Judicial Compensation Commission made in the 2006 Regular Session of the Legislature) reinstated additional compensation for bench experience - but only for circuit and district judges, to be implemented October 1, 2006.

Act 99-427 also provided that local supplements and expense allowances of circuit and district judges be reduced by the amount of their state salary increases until the supplements are eliminated. The act also provided that judges first elected or appointed after October 1, 2001 shall not receive a local supplement or expense allowance.

Act 2007-297 provided that the cost-of-living salary increase for FY 2008 and FY 2009 shall not apply to any appellate judge.

**Unified Judicial System Continued:**

Judicial State Salaries Ranges  
(including bench experience) are:

Circuit Judges	\$119,949 - \$149,936
District Judges	\$118,949 - \$148,986
Chief Justice*	\$161,003 - \$201,253
Associate Justices*	\$160,003 - \$200,003
Appeals Courts Presiding Judges*	\$159,503 - \$199,378
Appeals Courts Associate Judges*	\$159,003 - \$198,753

\*The salary amounts shown reflect that appellate judges received a salary increase in FY 2008 and in FY 2009.

The salary of Circuit and District Clerks is linked to the salary schedule of the Assistant Clerk of the Court of Criminal Appeals (except as provided for by Act 2000-611 which prohibited circuit clerks from receiving the 2% cost-of-living adjustment effective in fiscal years 2001 and 2002). For FY 2010, the salary range is \$69,098 to \$105,403 depending upon years of service, for those who take office for the first time on or after January 18, 1999. For those who were in office before January 18, 1999, the range is \$97,766 to \$105,403.

The salary of an Official Court Reporter is \$52,798 for FY 2010.

**VETERANS AFFAIRS**

<b>FY 2009-10 APPROPRIATION</b>	<b>TOTAL</b>	<b>% OF TOTAL</b>
<b>STATE FUNDS</b>		
General Fund	3,485,542	5.51%
Education Trust Fund	21,562,738	34.09%
Veterans' Assistance Fund	23,690,000	37.50%
<b>TOTAL STATE FUNDS</b>	<b>48,738,280</b>	<b>77.05%</b>
<b>VETERAN'S HOME TRUST TRANSFER</b>	<b>14,518,363</b>	<b>22.95%</b>
<b>TOTAL APPROPRIATION</b>	<b>63,256,643</b>	<b>100.00%</b>

The Department of Veterans Affairs assists 415,000 living veterans and their dependents throughout the state's 79 offices. The Department also administers the Alabama G.I. and Dependents Educational Benefit Act. As part of administering these acts, the Department receives an open-ended appropriation from the Education Trust Fund (ETF), pursuant to Section 31-6-15 of the Code of Alabama 1975. The Department is appropriated from the ETF an amount "as may be necessary" to reimburse Alabama educational institutions for educational benefits provided to qualified veterans.

<b>PERFORMANCE INDICATORS</b>	<b>FY 2007-2008</b>
Compensation & pension claims filed	23,108
Medical claims filed	2,785
Insurance claims filed	231
Educational claims filed (state and federal)	4,292
Original educational benefit certificates issued	1,862
Supplemental certificates issued	695

The Department of Veterans Affairs and the State Board of Veterans Affairs supervises the operations of the 150 bed Bill Nichols State Veterans Home in Alexander City, Alabama, the 150 bed William F. Green State Veterans Home in Bay Minette, Alabama and the 150 bed Floyd "Tut" Fan State Veterans Home in Huntsville, Alabama. These facilities are operated with state, patient and federal per diem funds. The Department is also planning on constructing a fourth veterans home in Pell City, Alabama. This will be a 260 bed facility, with 80 beds reserved for veterans requiring assisted living-type care, and 180 beds reserved for veterans requiring skilled nursing care (the type provided at the other three homes).

	<u>Effective 7-1-09</u>
Daily housing rate	\$170.40
Federal per diem	(74.42)
State per diem	<u>(84.34)</u>
Out-of-pocket expense by veterans	\$11.64

## YOUTH SERVICES

FY 2009-10 APPROPRIATION	TOTAL	% OF TOTAL
<b>STATE FUNDS</b>		
General Fund	15,644,385	15.95%
Education Trust Fund*	62,796,455	64.01%
<b>TOTAL STATE FUNDS</b>	<b>78,440,840</b>	<b>79.96%</b>
<b>FEDERAL &amp; LOCAL</b>	<b>18,731,676</b>	<b>19.09%</b>
<b>FEDERAL STIMULUS FUNDS</b>	<b>930,476</b>	<b>0.95%</b>
<b>TOTAL APPROPRIATION</b>	<b>98,102,992</b>	<b>100.00%</b>

The Department of Youth Services (DYS) provides programs for the prevention of juvenile delinquency and the rehabilitation of delinquent youth. Programs include evaluation, detention, rehabilitation, and education.

\*The ETF amount includes \$5,219,437 for C.I.T.Y. Programs

**Facilities include:**

4 state operated institutional units	454 bed capacity
1 state operated short-term programs	78 bed capacity
4 state operated group homes	49 bed capacity
15 contracts with private facilities	314 bed capacity

2,592 youth were committed to DYS in FY 2008 for treatment and rehabilitation from approximately 54,291 cases referred to the Alabama Juvenile Court System.

The Department has budgeted operating expenses at \$195 per day (\$71,175 per year) at the traditional state operated training school institutions and \$111 per day (\$40,515 per year) at the group homes. The operating expense for DYS short-term programs is \$161 per day (\$58,765 per year). Private placement costs range from \$87 to \$190 per day depending upon level of service.

In 2009, DYS estimated capital cost of \$110,000 per bed for construction of a new, freestanding secure facility (\$1.7 million for 16 beds) and capital cost of \$50,000 per bed for construction of additional dorms at existing facilities.